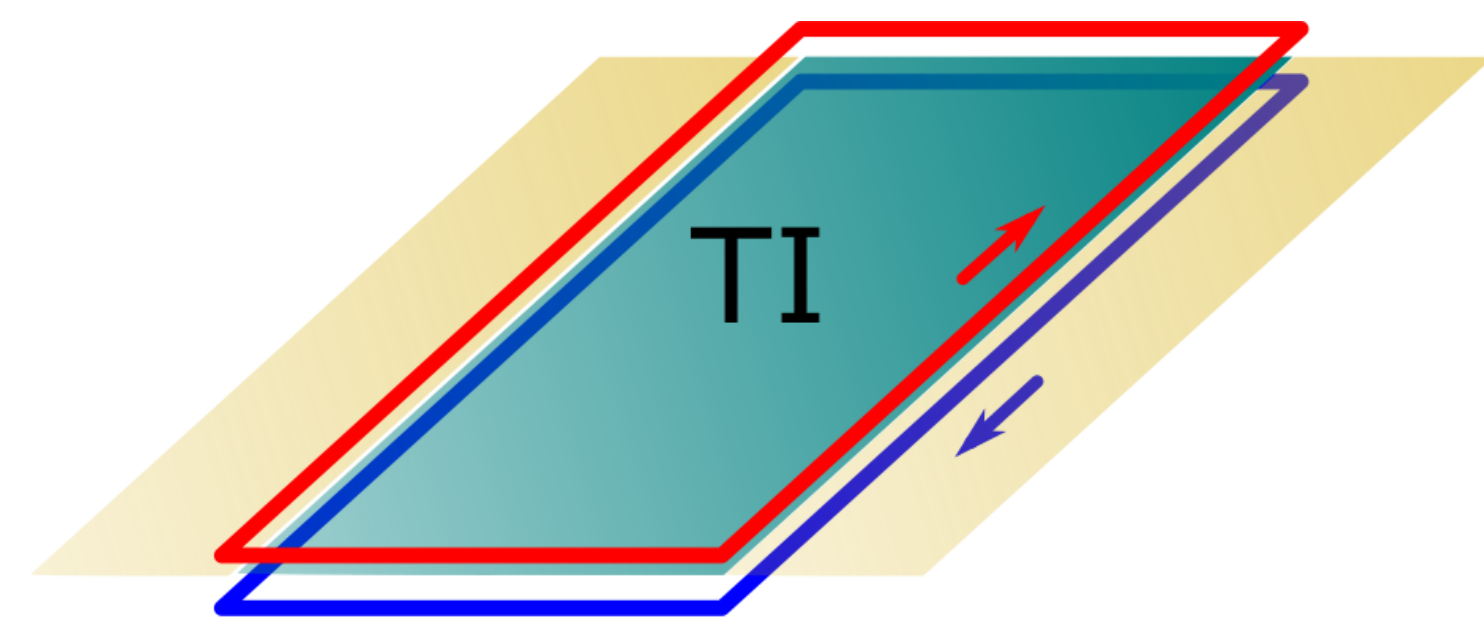


2D Topological Insulators and Helical Edge States

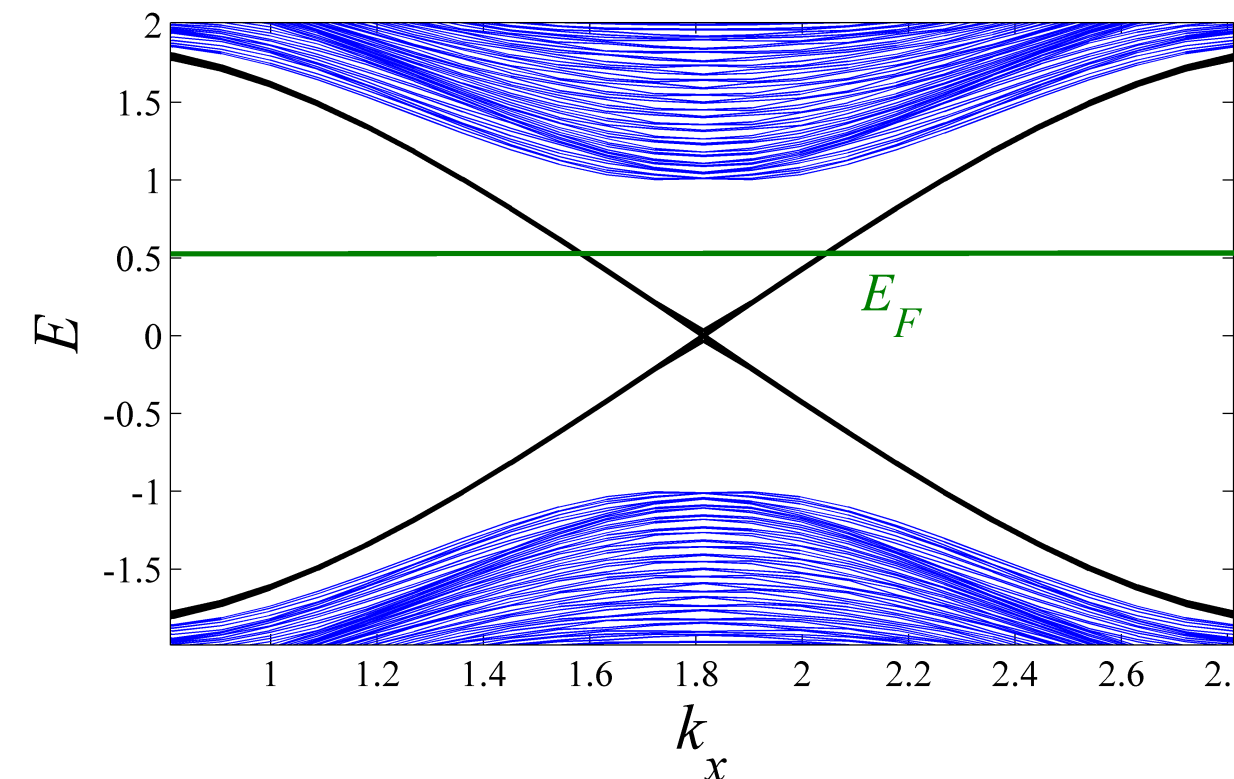


2D Topological Insulators:

- Kane-Mele, BHZ models
- HgTe, InAs/GaSb, ...
- Time reversal symmetry
- Robust edge states

Edge States:

- Kramers pair
- Ballistic conduction at $T = 0$
- Luttinger interactions
- Protection from localization



Helical Luttinger Liquid and Transport at $T = 0$

Edge Theory: 1D Dirac Fermion:

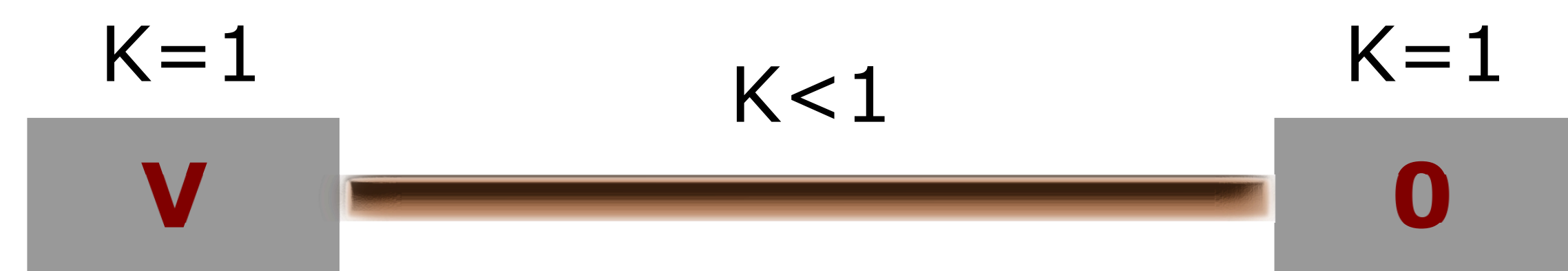
$$\hat{H}_0 = -i\hbar v_F \int dx [R^\dagger(x) \partial_x R(x) - L^\dagger(x) \partial_x L(x)] + \hat{H}_{LL}.$$

Bosonization: $n = \frac{1}{\sqrt{\pi}} \partial_x \theta$, $I = -\frac{1}{\sqrt{\pi}} \partial_t \theta$,

$$\hat{H}_0 \rightarrow \hat{H}_{b,0} = \frac{\hbar v}{2} \int dx \left[K (\partial_x \phi)^2 + \frac{1}{K} (\partial_x \theta)^2 \right].$$

$K < 1$: Repulsive
 $K > 1$: Attractive

Transport in 1D:



Boson propagator obeys

$$\frac{\omega^2}{v(x)K(x)} \tilde{G}(\omega; x, x') + \partial_x \left[\frac{v(x)}{K(x)} \partial_x \tilde{G}(\omega; x, x') \right] = \delta(x - x').$$

The current can be computed via

$$\langle I_1(x) \rangle = i \frac{e^2 V}{\pi \hbar L} \int_{-L/2}^{L/2} dx' \lim_{\omega \rightarrow 0} \left[\omega \tilde{G}^{(R)}(\omega; x, x') \right] = \frac{e^2}{h} V$$

Maslov and Stone 1995; Ponomarenko 1995; Safi and Schulz 1995.

DC conductance is independent of K !

Rashba Spin Orbit Coupling and Backscattering Terms

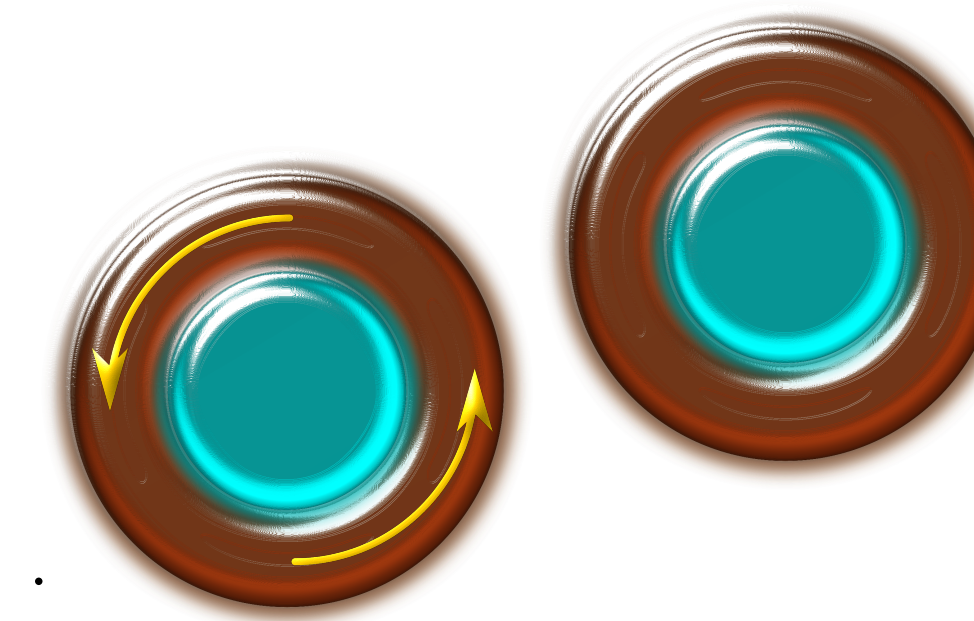
- No spin conservation
- “Spin-flip” backscatterings

Intraedge Interactions:

Leading irrelevant term is

$$\hat{H}_W = W \int dx : [e^{i2k_F x} L^\dagger R R^\dagger (-i\partial_x R) + e^{-i2k_F x} R^\dagger L L^\dagger (-i\partial_x L) + \text{H.c.}] :$$

S_z conserving: No drag



Interedge Interactions:

Two-Particle Backscattering

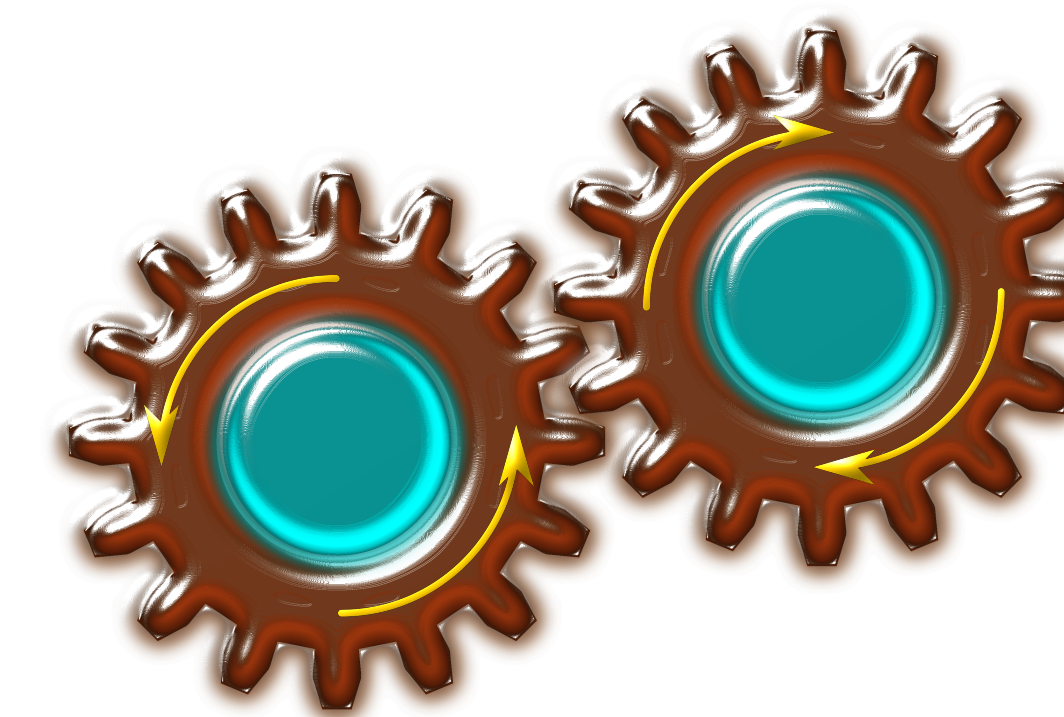
$$\hat{H}_- = U_- \int dx [e^{i2(k_{F1} - k_{F2})x} L_1^\dagger R_1 R_2^\dagger L_2 + \text{H.c.}],$$

$$\hat{H}_+ = U_+ \int dx [e^{i2(k_{F1} + k_{F2})x} L_1^\dagger R_1 L_2^\dagger R_2 + \text{H.c.}].$$

One-Particle Backscattering

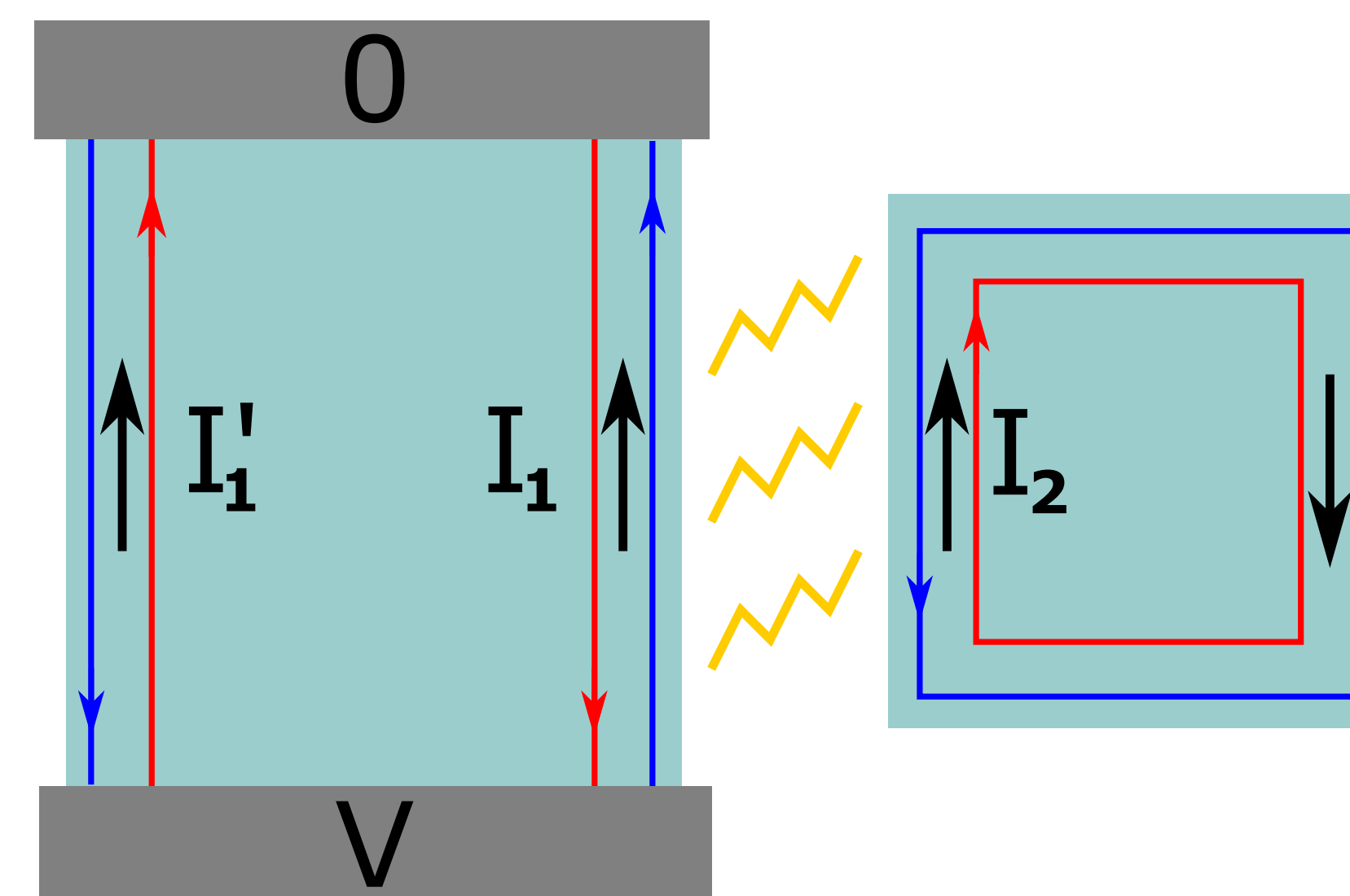
$$\hat{H}_U = \sum_{a=1,2} U \int dx [e^{-i2k_{Fa}x} R_a^\dagger L_a R_a^\dagger L_a - e^{i2k_{Fa}x} L_a^\dagger R_a L_a^\dagger L_a + \text{H.c.}].$$

Rashba: “Locking” drag



Correlated Transport in the Low- T Locking Regime

Assuming parameters (K , k_F , ...) in each edge are the same.



Transport in two-edge setup:

$$\begin{bmatrix} I_1 \\ I_2 \end{bmatrix} = \begin{bmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{bmatrix} \begin{bmatrix} V_1 = V \\ V_2 = 0 \end{bmatrix}, \quad G_{ij} = \sigma_{ij}/L.$$

At Low temperatures,

- Immune to disorder
- Perfect drag, $I_1 = I_2$, $G_1 = \frac{1}{1+1/K} \frac{e^2}{h}$
- Edge with I_1' contributes $G_1' = \frac{e^2}{h}$

Conditions:

$L \gg \hbar v / \Delta$ and $k_B T \ll \Delta$.
 Δ : Gap from \hat{H}_-

DC conductance: $G = \frac{2K+1}{K+1} \frac{e^2}{h}$, K -dependent

Dissipative Regime (Above Locking T)

Overview:

- Backscattering interactions are treated as perturbations
- Forwardscattering disorder is included
- Backscattering disorder is not considered
- Standard effective potential method \rightarrow Boson propagator

Kubo Formula:

$$\sigma_{11} = -\frac{1}{\pi} \frac{e^2}{\hbar} \lim_{\omega \rightarrow 0} \text{Im} \left[\omega \mathcal{G}_{11}^{(R)}(\omega, k) \right] = \frac{e^2}{2\hbar} \left[\frac{1}{\Xi_- + \Xi_U + \Xi_W} + \frac{1}{\Xi_- + \Xi_U + \Xi_W} \right].$$

Ξ_W , Ξ_\pm , and Ξ_U correspond to \hat{H}_W , \hat{H}_\pm , and \hat{H}_U . Ξ_W is always sub-leading.

Summary of finite temperature:

For clean edges and $k_B T \gg \hbar v k_F$,

$$\sigma_{11} \sim \begin{cases} T^{-4K+3}, & \text{for } K \leq 1, \\ T^{-2K+1}, & \text{for } K > 1. \end{cases}$$

With smooth disorder and $k_B T \ll g_\eta / \hbar v$ (g_η : disorder strength),

$$\sigma_{11} \sim \begin{cases} T^{-4K+2}, & \text{for } K \leq 1, \\ T^{-2K}, & \text{for } K > 1. \end{cases}$$

Single Edge: Clean Conductivity

Boson propagator:

$$\langle \theta \theta \rangle^{(R)}(\omega, k) = \left[\frac{1}{vK} (\omega^2 - v^2 k^2) - \Pi_W^{(R)}(\omega, k) \right]^{-1},$$

where $\text{Im} [\Pi_W^{(R)}(\omega, k)] = -2\omega \Xi_W + \mathcal{O}(\omega^2)$,

Clean Conductivity:

$$\sigma_{dc} = \frac{e^2}{h} \frac{1}{\Xi_W} = \frac{e^2 (\hbar v)^2 l_T^3}{h W^2 \pi^3} \frac{6 [\cosh(k_F l_T) + 1]}{\left(\frac{k_F l_T}{2\pi} \right)^4 + \frac{5}{2} \left(\frac{k_F l_T}{2\pi} \right)^2 + \frac{9}{16}} \sim \begin{cases} T^{-3}, & \text{for } k_B T \gg \hbar v k_F, \\ T \frac{\hbar v k_F}{e^2}, & \text{for } k_B T \ll \hbar v k_F, \end{cases}$$

where $l_T \equiv \hbar v / k_B T$ denotes the thermal de Broglie wavelength.

Outlook

- Negative drag (\hat{H}_+ dominates) still works
- Nonequilibrium spectroscopy
- Noise measurement
- Similar setup for other topological materials