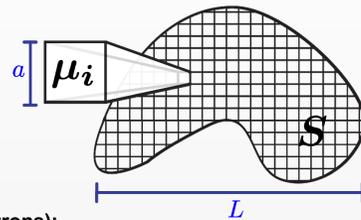


Multifractal Spectrum and Localization

Multifractal: An object characterized by a continuous spectrum of fractal dimensions

- Set S of dimension d , broken up into N pieces ("boxes") Ω_i
- Box size $a \ll$ system size L ; $N = (L/a)^d$
- Some probability measure $d\mu$
- Box probability:** $\mu_i \equiv \int_{\Omega_i} d\mu$; $\sum_{i=1}^N \mu_i = 1$



Anderson localization physics (non-interacting electrons):

$$d\mu = |\psi(\mathbf{r})|^2 d^d \mathbf{r}; \quad \left[-\frac{\nabla^2}{2m} + v(\mathbf{r}) \right] \psi(\mathbf{r}) = \varepsilon \psi(\mathbf{r}) \quad \left\{ \begin{array}{l} \text{electron moving in a} \\ \text{random impurity} \\ \text{potential } v(\mathbf{r}) \end{array} \right\}$$

Inverse Participation Ratio (IPR): $P_q \equiv \sum_{i=1}^N \mu_i^q \sim \left(\frac{a}{L}\right)^{\tau(q)}$

The multifractal spectrum $\tau(q)$: localized versus extended behavior

Inverse Participation Ratio (IPR): $P_q \sim \int_{L^d} |\psi(\mathbf{r})|^{2q} d^d \mathbf{r} \sim \left(\frac{a}{L}\right)^{\tau(q)}$

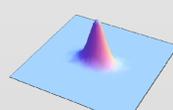
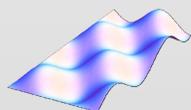
- Compute for **typical** ψ in a system of size L^d , obtained in a fixed realization of the disorder potential (i.e. numerics)

Analytical properties: $\frac{d\tau(q)}{dq} > 0$; $\frac{d^2\tau(q)}{dq^2} \leq 0$; $\lim_{q \rightarrow \pm\infty} \frac{\tau(q)}{q-1} = d_{\pm\infty}$

- As a probe of wavefunction (de)localization:

(a) Extended plane wave state
 $\tau(q) = d(q-1)$

(b) Exponentially localized state
 $\tau(q) \sim 0, \quad L \gg \xi_{\text{loc}}$

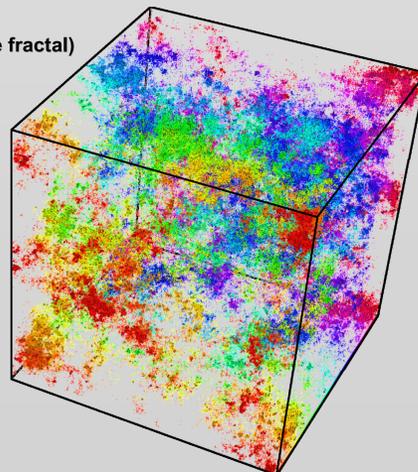
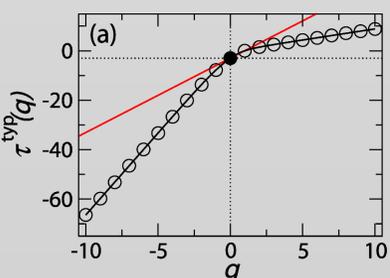


Multifractal spectrum: Universal Fingerprint of the MIT

Critical wavefunctions at a delocalization transition
(Anderson MIT in $d > 2$, IQHP in $d = 2$)

- ψ exhibits **multifractal scaling** (neither localized nor extended, nor a simple fractal)
Wegner 1980; Castellani and Peliti 1986

- Multifractality encoded in **nonlinearity** of the $\tau(q)$ spectrum



- $\tau(q)$ is **self-averaging and universal**

e.g., Pook, Janssen 1991; Huckestein 1995; Evers, Mildenberger Mirlin 2001; Chamon, Mudry, Wen 1996; Mirlin and Evers 2000

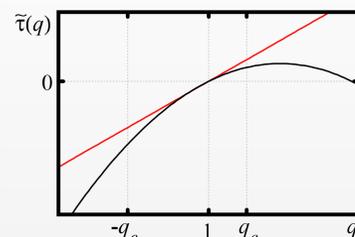
Numerical $\tau(q)$ spectrum and 3D plot of the probability density of a typical multifractal wavefunction at the MIT in $d = 3$
Vasquez, Rodriguez, Roemer 2008

Typical vs Average Spectra at the MIT in $d > 2$

Average vs. Typical Multifractal Spectra

Standard result for the unitary class (disordered metal with broken TRI):

$$\tilde{\tau}(q) = d(q-1) - \sqrt{\frac{\epsilon}{2}} q(q-1) + \mathcal{O}[\epsilon^2 q^2 (q-1)^2] \quad \text{Wegner 1980, 1987; Pruisken 1985}$$



Something is **rotten** about this result:

- $\tilde{\tau}(q)$ is not monotonically increasing
- $\tilde{\tau}(q)$ becomes **NEGATIVE** for large, positive $q \gg 1$

Must distinguish Average vs. Typical spectra!

$\tilde{\tau}(q) = -\frac{d \ln \langle P_q \rangle}{d \ln L}$ **Average spectrum**

In prior work (Wegner, Pruisken 1980s), Average spectrum obtained by taking the Log of the disorder-averaged IPR.

$\tau(q) = -\frac{d \ln P_q}{d \ln L}$ **Typical spectrum**

In numerics, **Typical** spectrum extracted from a representative wavefunction in a fixed disorder configuration. Because it is self-averaging, the typical spectrum can also be defined as the expectation of the Log of the IPR, averaged over disorder realizations.
Never before computed analytically.

How to extract the Typical multifractal spectrum

Typical spectrum can be extracted from the Moment Generating Function F_q for the q^{th} moment of local density of states (LDOS):

$$\tau(q) = \frac{d}{d \ln L} \int_0^\infty \frac{d\xi}{\xi} [F_q(\xi; L) - q F_1(\xi; L)]; \quad F_q(\xi; L) \equiv \left\langle e^{-\xi \int d^d \mathbf{r} \mathcal{O}_q(\mathbf{r})} \right\rangle$$

Here, the q^{th} LDOS moment is encoded by an operator $\mathcal{O}_q(\mathbf{r})$ in the low-energy field theory description of the Anderson localization problem (the "replica NL σ M"):

$$\nu(\mathbf{r}) = \sum_\alpha |\psi_\alpha(\mathbf{r})|^2 \delta(\varepsilon - \varepsilon_\alpha); \quad \nu^q(\mathbf{r}) \sim \mathcal{O}_q(\mathbf{r})$$

At the critical point describing the MIT (located via the perturbative RG in $d = 2 + \epsilon$), the expectation of q^{th} LDOS moment scales with system size according to

$$\langle \mathcal{O}_q(\mathbf{r}) \rangle \sim \frac{1}{L^{x_q}}, \quad x_q = -\sqrt{\frac{\epsilon}{2}} q(q-1) + \dots \quad \text{Wegner 1980, 1987; Pruisken 1985}$$

The cumulant expansion is Doomed!

Simplest approach to compute the typical $\tau(q)$: Try the cumulant expansion

$$F_q(\xi; L) \sim e^{-\xi \int d^d \mathbf{r} \langle \mathcal{O}_q(\mathbf{r}) \rangle + \frac{\xi^2}{2!} \left[\int d^d \mathbf{r} d^d \mathbf{r}' \langle \mathcal{O}_q(\mathbf{r}) \mathcal{O}_q(\mathbf{r}') \rangle_c \right] + \dots}$$

- First term: average is typical $\tilde{\tau}(q) = \tau(q)$
- Second term: Operator Product Expansion (OPE) means TROUBLE!

$$\mathcal{O}_q(\mathbf{r}) \mathcal{O}_{q'}(\mathbf{r}') \sim \frac{C_{q,q'}^{q+q'}}{|\mathbf{r} - \mathbf{r}'|^{x_q + x_{q'} - x_{q+q'}}} \mathcal{O}_{q+q'}\left(\frac{\mathbf{r} + \mathbf{r}'}{2}\right) + \dots$$

$$x_q < 0, \quad q > 1 \\ x_{q+q'} < x_q + x_{q'} < 0$$

Negative-convex scaling dimension spectrum of the LDOS moments implies that higher cumulants give ever LARGER contributions!
Cumulant expansion does not converge!

Typical Spectrum via the FRG

Solution: the Functional Renormalization Group (FRG)

Following Carpentier and Le Doussal (2000) and Mudry, Ryu, Furusaki (2003), we use a Functional Renormalization Group method to keep track of all moments:

- Generalize F_q , including all higher LDOS moments from the very beginning

$$F_q(\xi; L) = \left\langle e^{\sum_{p=1}^\infty Y_{pq} \int d^d \mathbf{r} \mathcal{O}_{pq}(\mathbf{r})} \right\rangle; \quad Y_{pq}(0) = -\xi \delta_{p,1}$$

Here $\mathcal{O}_{pq}(\mathbf{r})$ is a local operator representing the $(p \times q)^{\text{th}}$ moment of the LDOS.

- Study scaling of F_q : write RG flow equations for the coupling constants $\{Y_{pq}\}$ at the MIT critical fixed point in $d = 2 + \epsilon$ (using the OPE)

$$\frac{dY_{pq}}{dl} = (d - x_{pq})Y_{pq} + \frac{S_d}{2} \sum_{m=1}^{p-1} C_{mq, (p-m)q}^{pq} Y_{mq} Y_{(p-m)q} + \mathcal{O}(Y^3)$$

where $x_q = -\sqrt{\frac{\epsilon}{2}} q(q-1) + \mathcal{O}[\epsilon^2 q^2 (q-1)^2]$ **Scaling dimension of $\mathcal{O}_q(\mathbf{r})$**
Wegner 1980, 1987; Pruisken 1985

$$C_{q,q'}^{q+q'} = \binom{q+q'}{q} [1 + \mathcal{O}(\epsilon)]$$

OPE Coefficient
Foster, Ryu, Ludwig 2009

- Write an auxiliary generating functional for the coupling constants

$$G_q(z, l) \equiv 1 + \frac{S_d}{2d} \sum_{p=1}^\infty \frac{(e^{-z})^p}{p!} Y_{pq}(l); \quad \tilde{G}_q(\tilde{z}, \tilde{l}) \equiv G_q\left(\tilde{z} - q \tilde{l} \sqrt{\frac{\epsilon}{2}}, \tilde{l}\right)$$

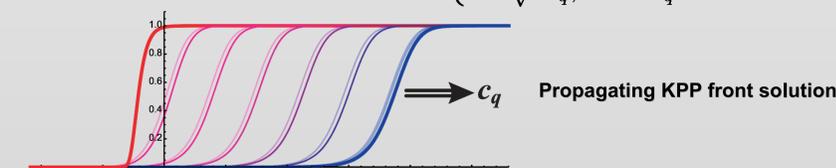
- \tilde{G}_q satisfies the Kolmogorov-Petrovsky-Piscounov (KPP) equation, which describes non-linear diffusion in 1D.

$$\left[\frac{1}{d} \partial_{\tilde{t}} - D_q \partial_{\tilde{z}}^2 \right] \tilde{G}_q = \tilde{G}_q (\tilde{G}_q - 1); \quad D_q = \frac{q^2}{d} \sqrt{\frac{\epsilon}{2}}$$

KPP has propagating front solutions, with a universal front velocity \tilde{c}_q .

Velocity selection: \tilde{c}_q is a non-analytic function of the diffusion constant

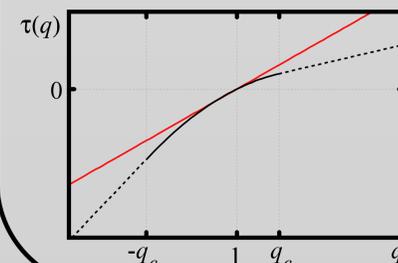
$$\tilde{G}_q(\tilde{z}, \tilde{l})|_{\tilde{l} \rightarrow \infty} \sim h(\tilde{z} - \tilde{c}_q \tilde{l}); \quad \tilde{c}_q = \begin{cases} d(1 + D_q), & D_q \leq 1 \\ 2d\sqrt{D_q}, & D_q > 1 \end{cases}$$



Final result: Termination of the multifractal spectrum at the MIT

KPP velocity selection = Termination of the typical multifractal spectrum

$$\tau(q) = \begin{cases} \tilde{\tau}(q) = d(q-1) \left(1 - \frac{q}{q_c^2}\right), & |q| < q_c \\ d \left(1 - \frac{\text{sgn}(q)}{q_c}\right)^2 q, & |q| > q_c \end{cases} \quad q_c^2 \sim 2\sqrt{\frac{2}{\epsilon}}$$



Physical Interpretation:

The IPR for a representative wavefunction computed in a typical disorder realization is dominated by the rare extrema of that wavefunction for large $|q|$. Simple fractal behavior is found beyond termination, which reflects the **extremal statistics** of the typical wavefunction.

References:

- Phys. Rev. B **80**, 075101 (2009)
- T. Vojta, Physics **2**, 66 (2009)