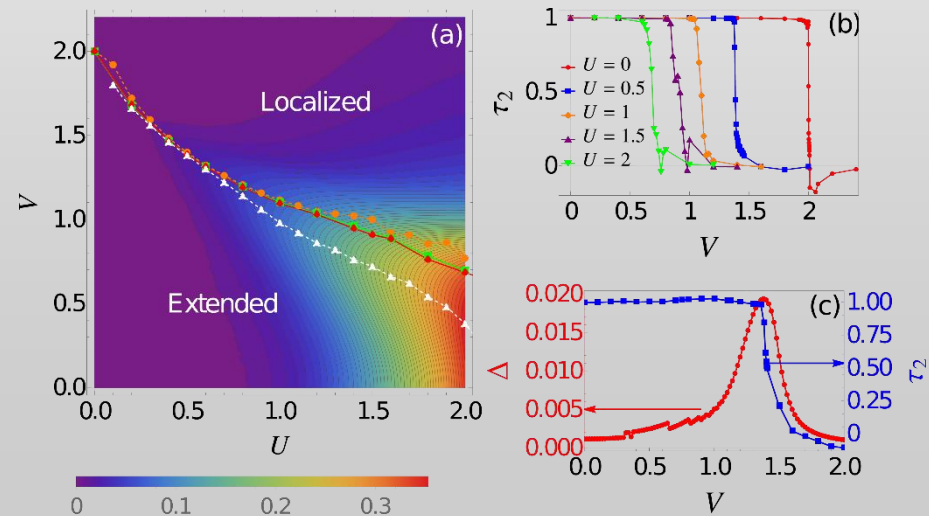
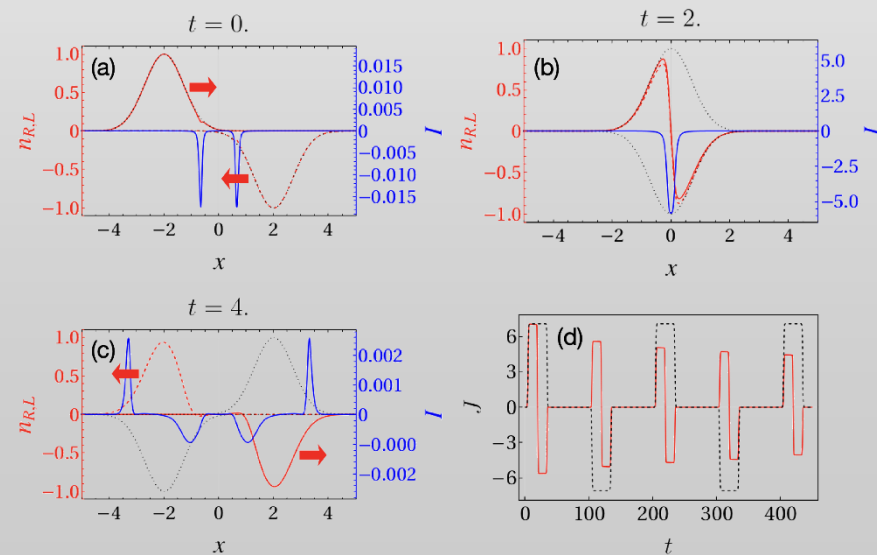


# Shock and bounce edge-state dynamics, and fractal-mediated superconductivity

Matthew S. Foster  
Rice University  
June 12, 2023





## First, an advertisement:

- In a strongly interacting fermion-boson soup\*, **hydrodynamic collective modes for conserved quantities (charge, spin, heat) are classical or quantum?**

\* Marginal Fermi liquid with Planckian dissipation and ordinary (non-SYK) impurity scattering



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PHYSICAL REVIEW B **106**, 155108 (2022)

### Quantum interference of hydrodynamic modes in a dirty marginal Fermi liquid

Tsz Chun Wu<sup>1</sup>, Yunxiang Liao<sup>2,3</sup> and Matthew S. Foster<sup>1,4</sup>

### Enhancement of Superconductivity in a Dirty Marginal Fermi Liquid

Tsz Chun Wu,<sup>1</sup> Patrick A. Lee,<sup>2</sup> and Matthew S. Foster<sup>1,3</sup>

arXiv:2305.13357v1 [cond-mat.supr-con] 22 May 2023



**Tsz Chun Wu**

**Rice University,  
Trexquant**



**Yunxiang  
Liao**

**JQI/CMTC  
U. Maryland,  
KTH**



**Patrick Lee**

**MIT**

# Shock and bounce edge-state dynamics, and fractal-mediated superconductivity



**Xinghai Zhang**  
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1. Shock + Bounce PRL 127, 026801 (2021)
2. Fractal SC PRB 106, L180503 (2022)
3. Magnetism at the onset of a spectrum-wide quantum-critical transition (in preparation)

# Dissipation in “protected” quantum systems

## Nonequilibrium quantum dynamics (quench, Floquet, etc.):

- Often interested in “protected” quantum systems
  1. Integrable or effectively integrable (MBL?)
  2. Low-dimensional (kinematically constrained)
  3. Topological
- Prethermalization plateau (collisionless steady-state)

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  3. Topological
- Prethermalization plateau (collisionless steady-state)
- Thermalization in a not-quite ideal quantum system
  1. Frequently mediated by “irrelevant” operators
  2. Hard to deal with by otherwise powerful (e.g. field theory) methods
- Alternative: Semiclassical (?) hydrodynamics

# Light-pulse induced dynamics in a helical edge loop

- **1D helical (spin-momentum locked) edge liquid**

1. Edge states of a 2D  $Z_2$  topological insulator

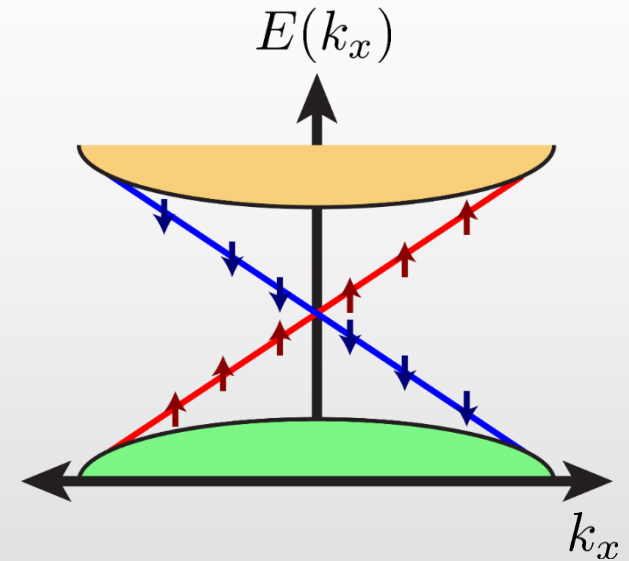
2. Synthetic realizations, e.g.

- ***N*-layer graphene**

Abanin, Lee, Levitov 2006  
Young, Jarillo-Herrero *et al.* 2014  
Che, Lau, Murthy, Fertig *et al.* 2020

- **Edge liquids of higher-order TIs**

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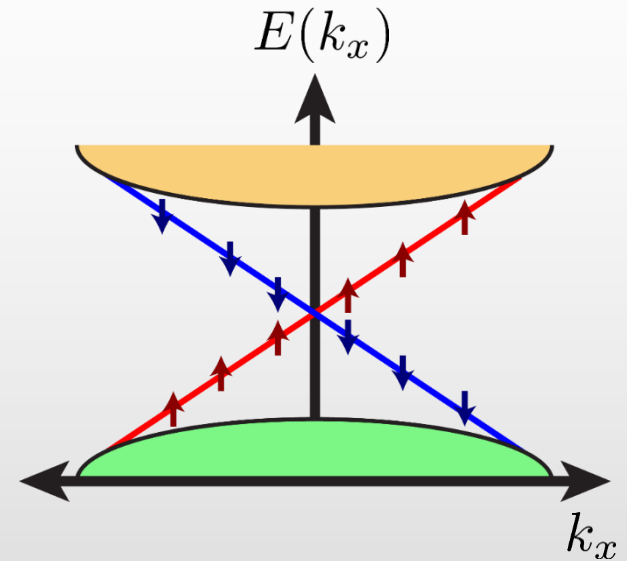
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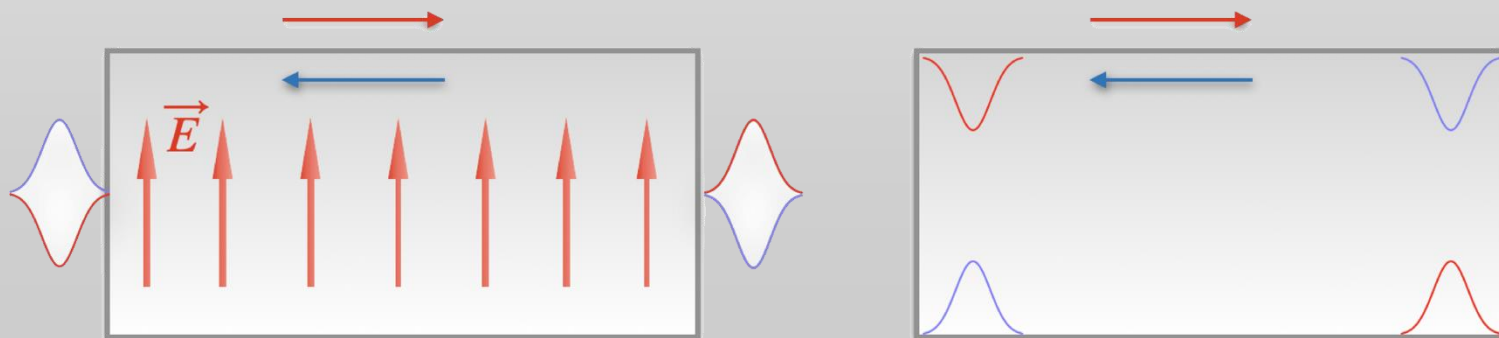
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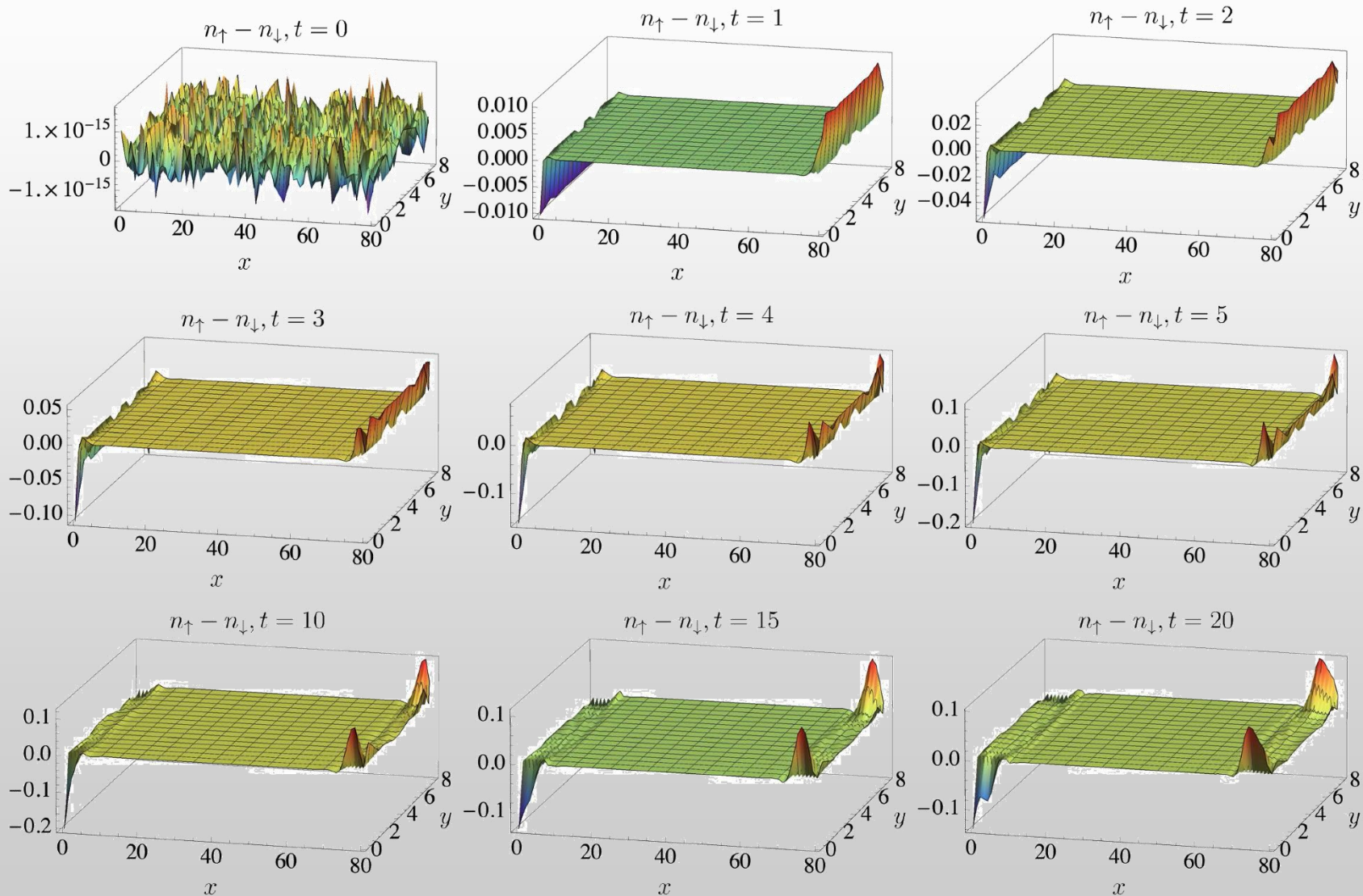
- **Setup: Light-induced (axial anomaly) circulating spin, charge packets**





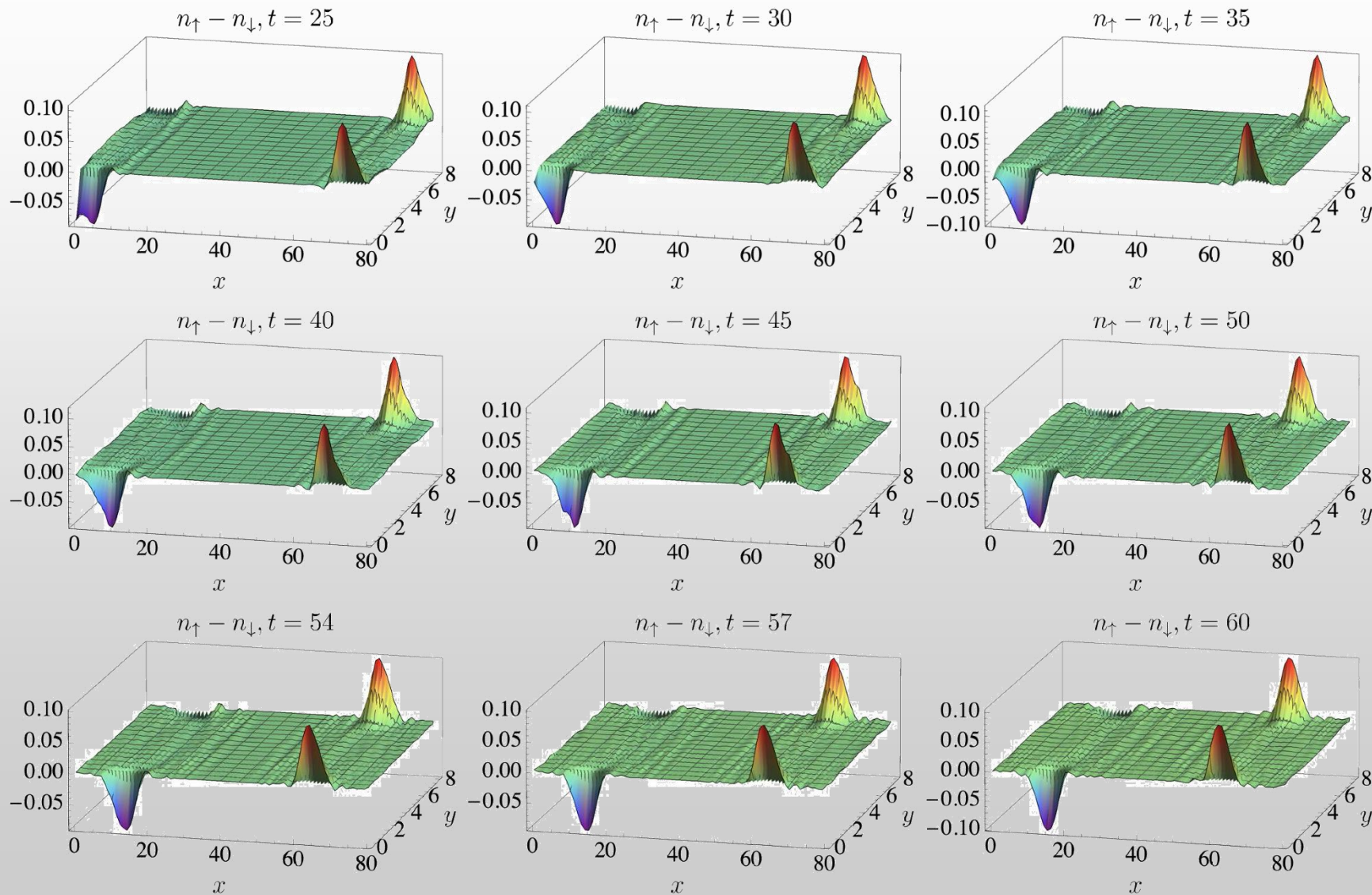
# Spin and charge packets (ideal edges)

- Electric pulse-induced “quench” (non-interacting Kane-Mele model, open BC)



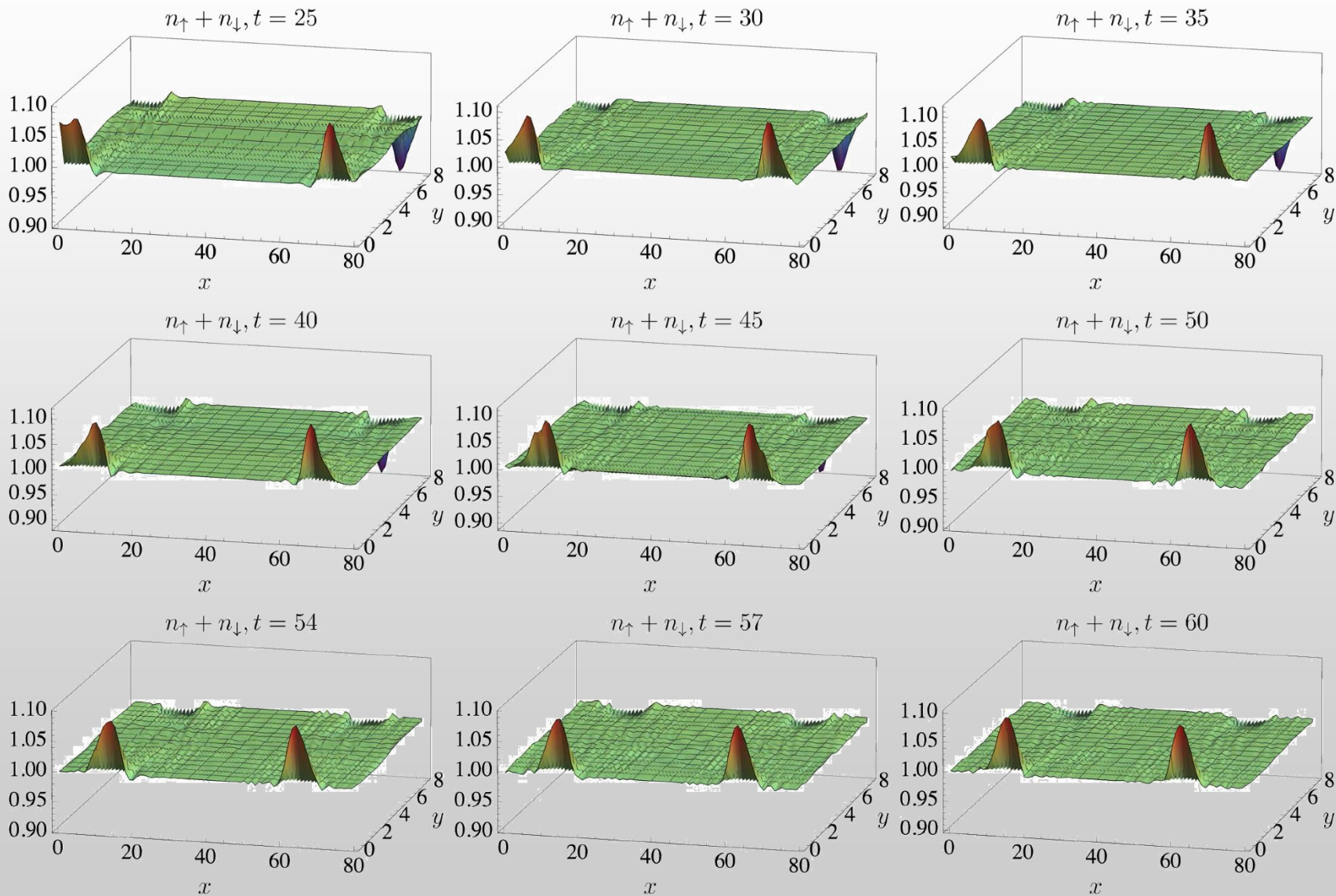
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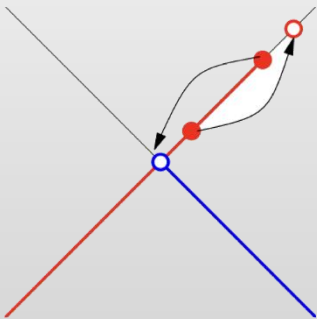
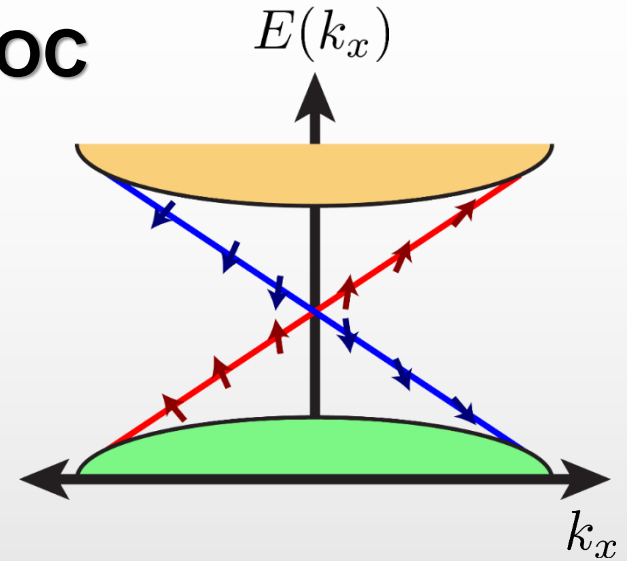
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# Light-pulse induced dynamics in a helical edge loop

- **1D helical edge liquid with Rashba SOC**
  - Slow rotation of spin texture with  $k_x$
- **Rashba SOC + Screened Coulomb:**
  - Irrelevant “1-particle Umklapp” (1PU) scattering processes



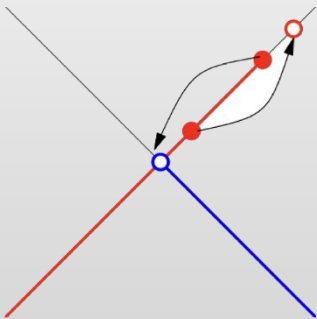
Lezmy, Oreg, Berkooz 2012  
Kainaris, Gornyi, Carr, Mirlin 2014  
Chou, Levchenko, Foster 2015

**Right + Right  $\Rightarrow$  Right (sum) + Left (zero) momentum**

$$H_{1PU} = W \int dx [R^\dagger R L^\dagger (-i\partial_x) R + L^\dagger L R^\dagger (-i\partial_x) L + \text{H.c.}]$$

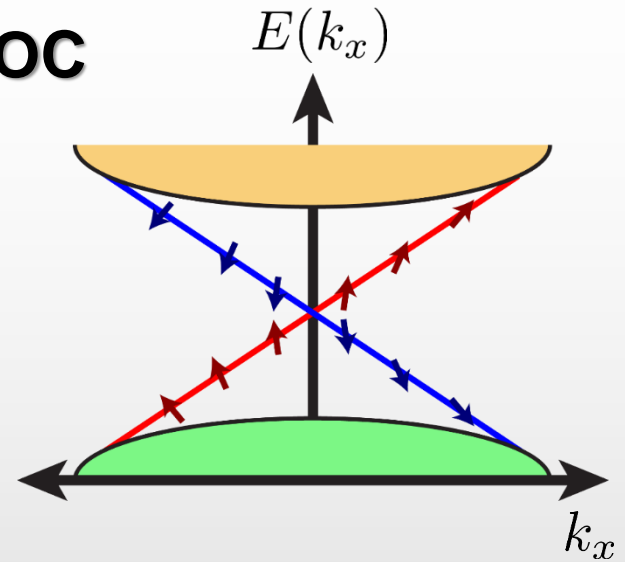
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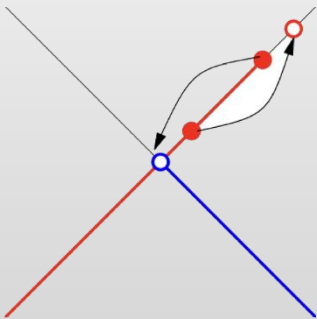
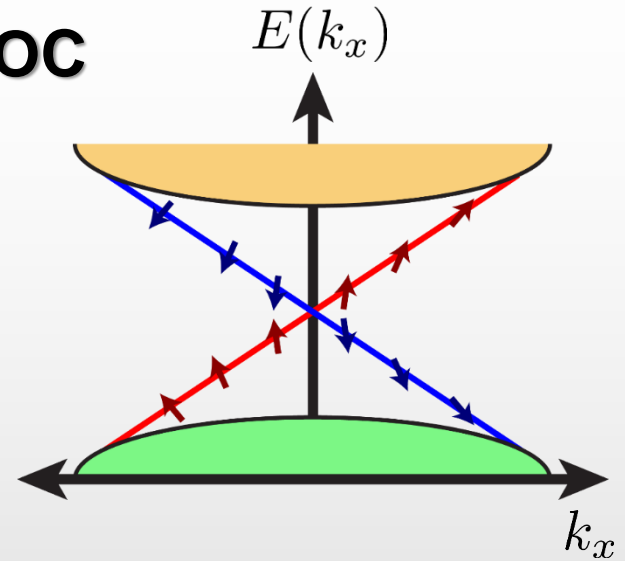
**Right + Right**  **Right (sum) + Left (zero) momentum**



- **Luttinger liquid interactions?**
  - Bosonize, refermionize to remove them
  - Price: 1PU interaction becomes nonlocal due to “strings”

# Light-pulse induced dynamics in a helical edge loop

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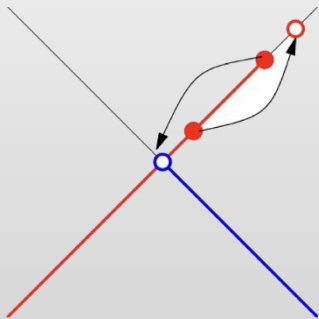
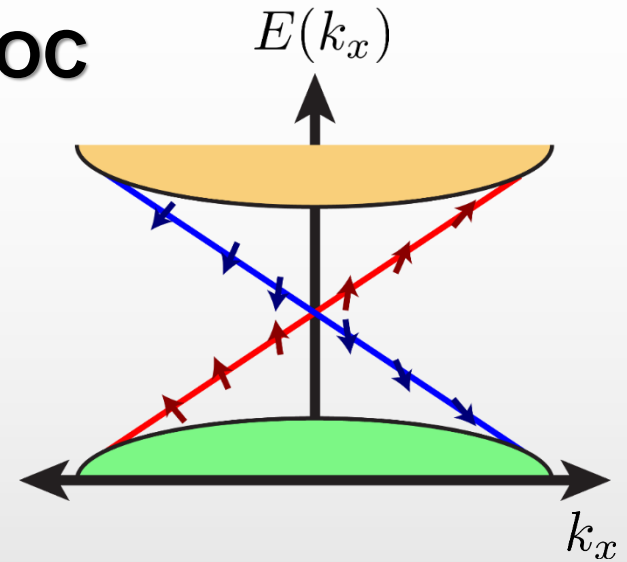
**Right + Right**  $\Rightarrow$  **Right (sum) + Left (zero) momentum**

- **Effect on circulating charge packets?**

**Chiral hydrodynamics**

# Light-pulse induced dynamics in a helical edge loop

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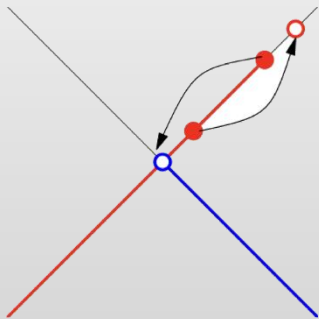
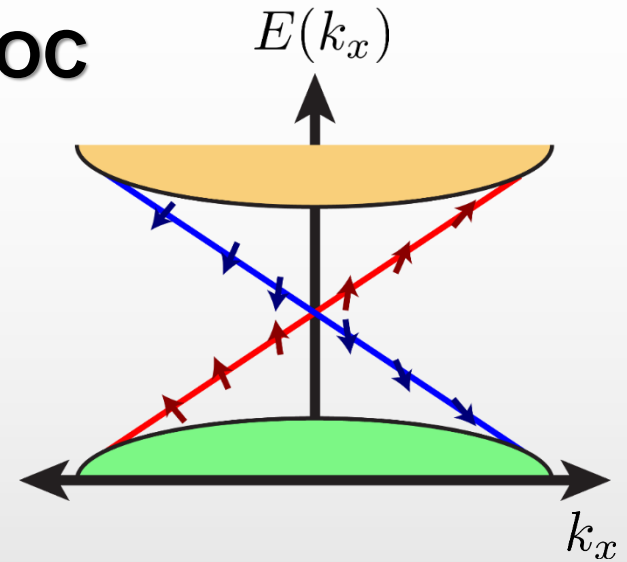
**Right + Right**  $\Rightarrow$  **Right (sum) + Left (zero) momentum**

**Particles:** 
$$\partial_t n_{R,L} \pm v_F \partial_x n_{R,L} = \pm \frac{eE}{h} \pm I$$

**Momenta:** 
$$\partial_t P_{R,L} \pm v_F \partial_x P_{R,L} = eE n_{R,L} + F_{in}^{R,L}$$

# Light-pulse induced dynamics in a helical edge loop

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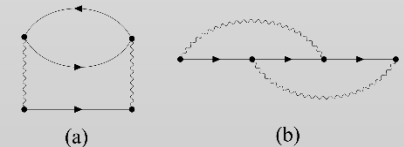


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**After external field is turned off, no disorder:**

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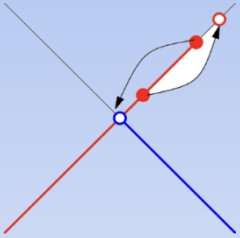


**Momenta:**  $\partial_t P_{R,L} \pm v_F \partial_x P_{R,L} = 0$

Right, left momenta separately conserved (as in SG model)



# Shock dynamics in a helical edge loop



Right + Right  $\Rightarrow$  Right (sum) + Left (zero) momentum

After external field is turned off, no disorder:

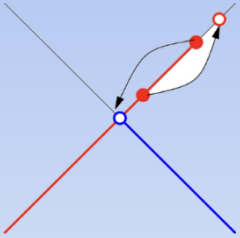
**Particles:**  $2 \partial_{\pm} n_{R,L} = \pm I(n_R, n_L, T_R, T_L)$

**Momenta:**  $2 \partial_{\pm} P_{R,L} = 0$

Lightcone coordinates  
 $x_{\pm} = x \pm t, \quad v_F \equiv 1$

**Local initial right-mover excess:**  $n_R^{(0)} = n_0 \exp(-x^2/\xi^2)$

# Shock dynamics in a helical edge loop



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**Local right-mover (left-mover) temperature heated (cooled) by imbalance relaxation  $I$**

$$T_R(t, x) = \sqrt{T_0^2 + 12 \left\{ [n_R^{(0)}(x_-)]^2 - [n_R(t, x)]^2 \right\}}$$

$$T_L(t, x) = \sqrt{T_0^2 - 12 [n_L(t, x)]^2}$$

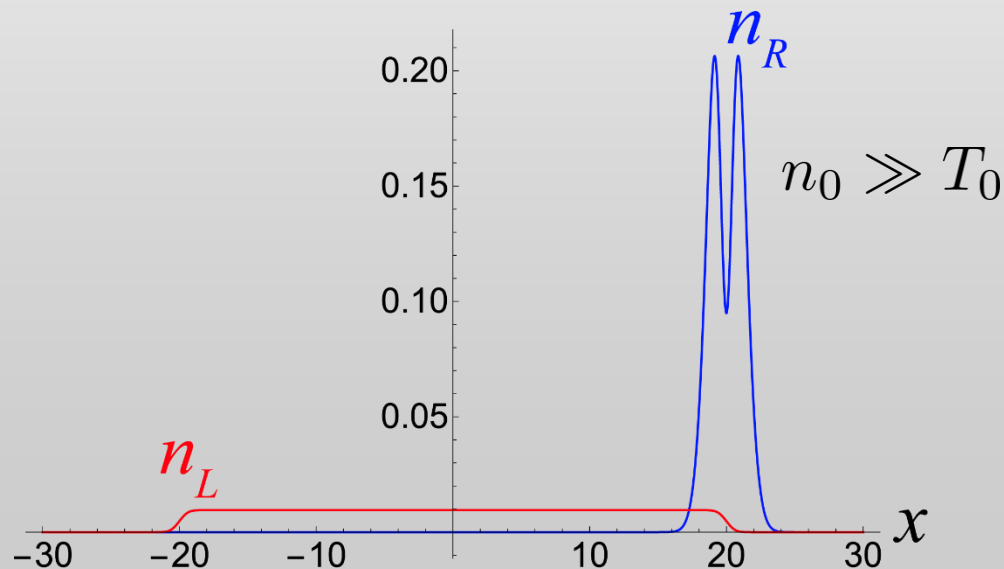
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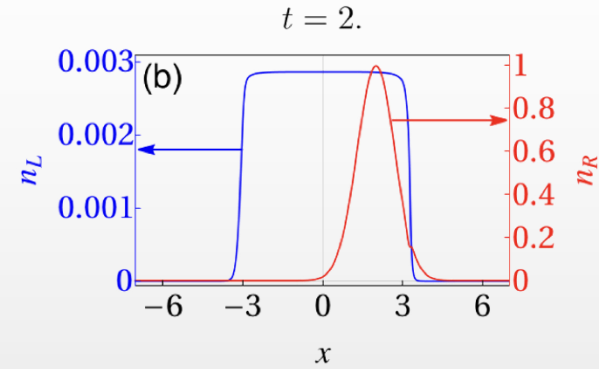
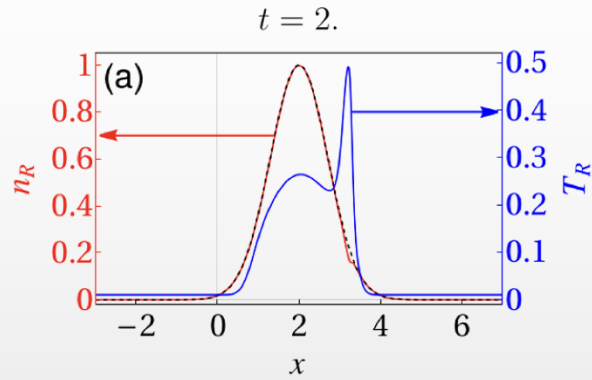
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Short-time perturbation theory:



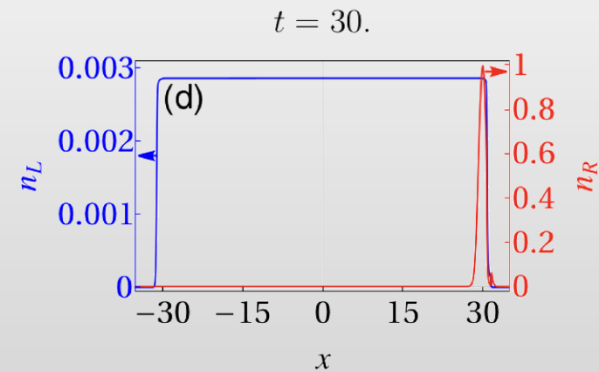
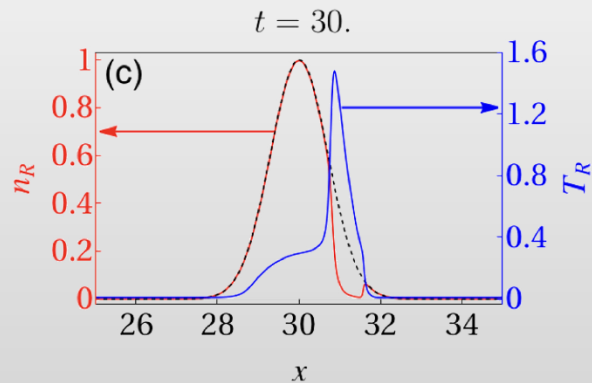
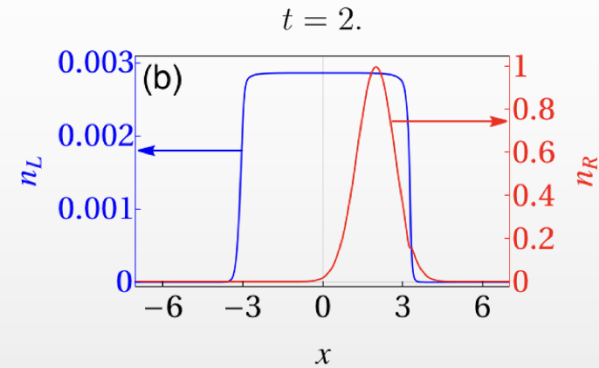
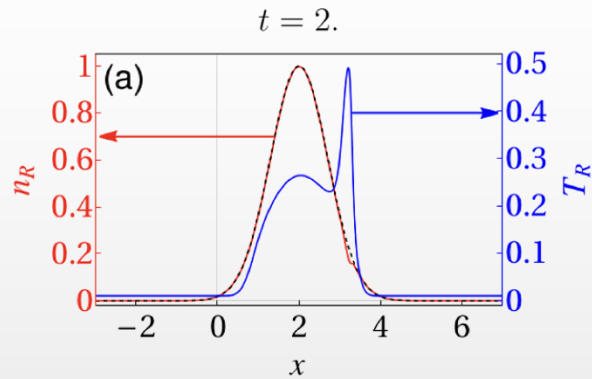
# Shock dynamics in a helical edge loop

**Shock formation:** Imbalance self-focuses at leading right-edge



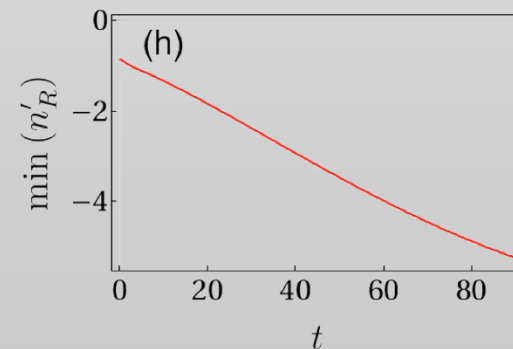
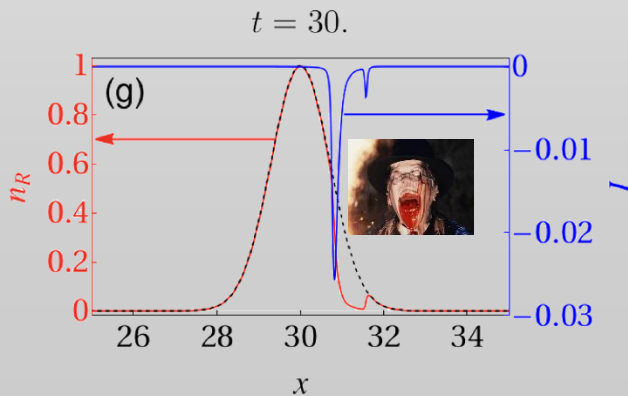
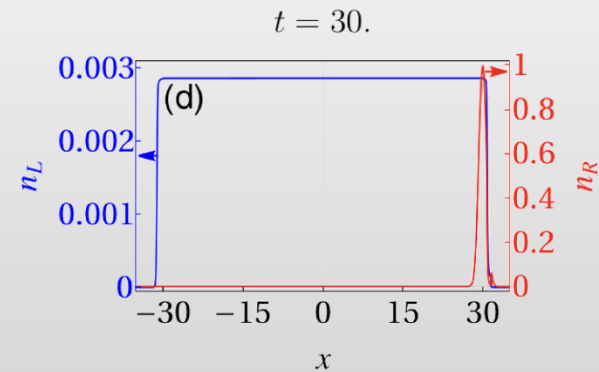
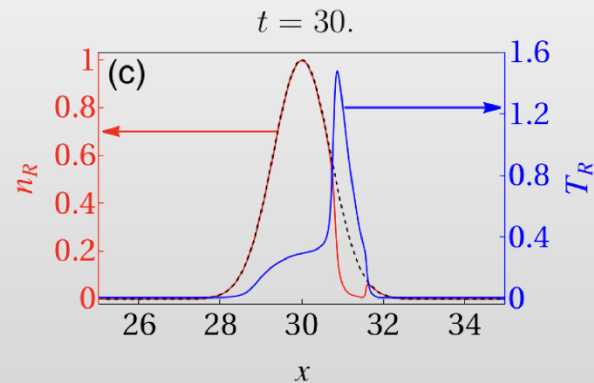
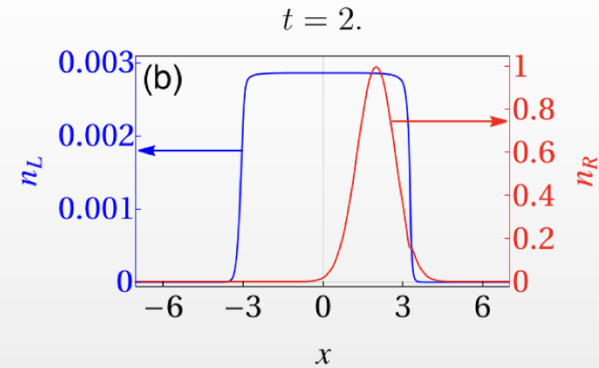
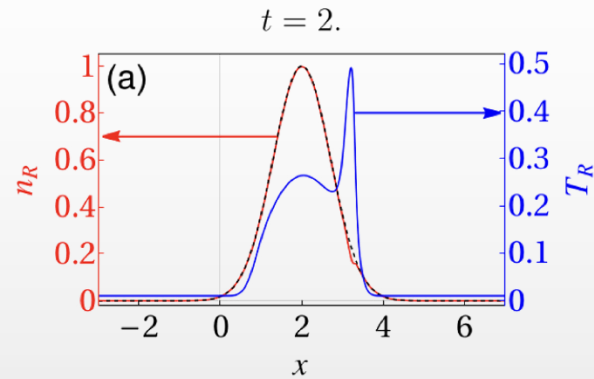
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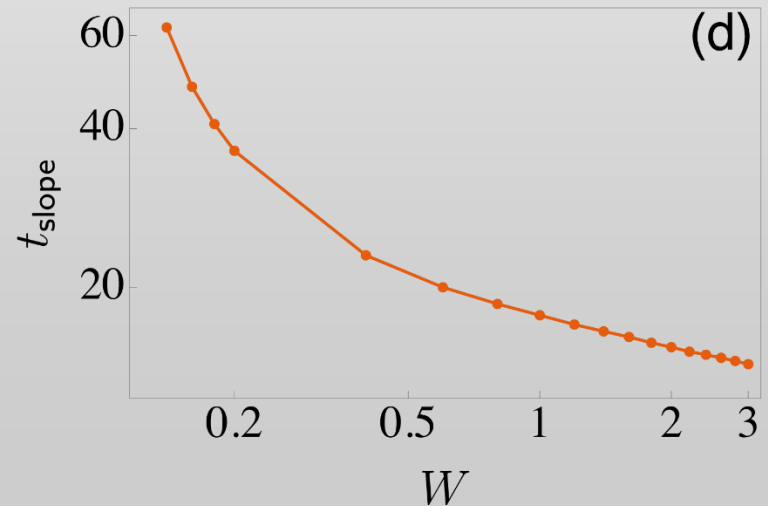
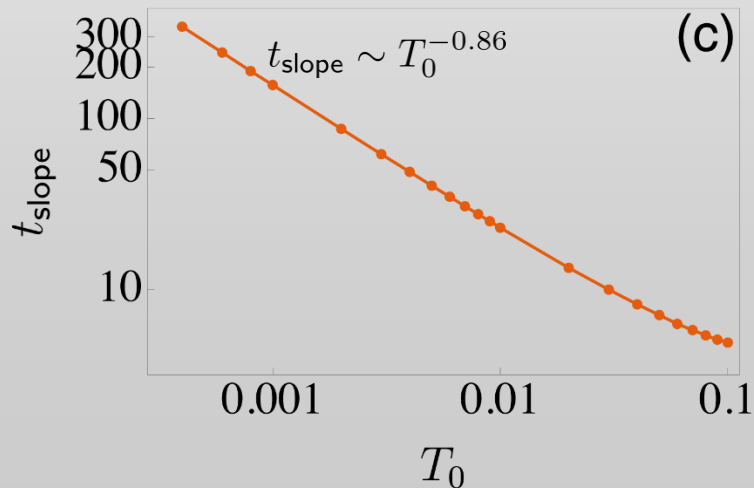
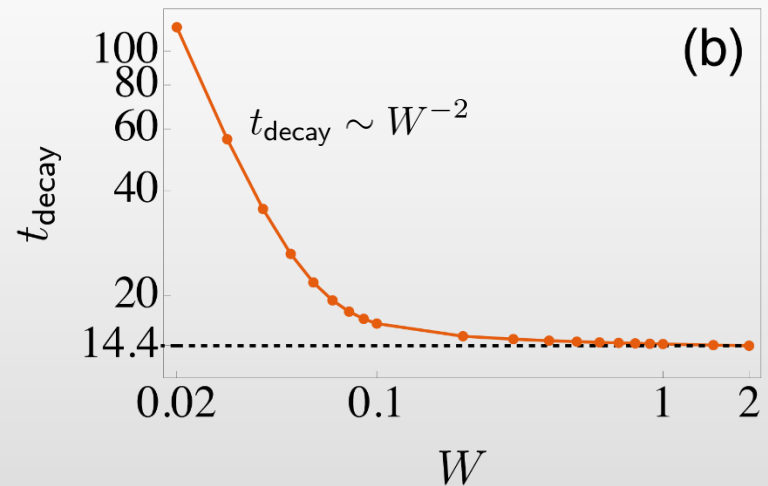
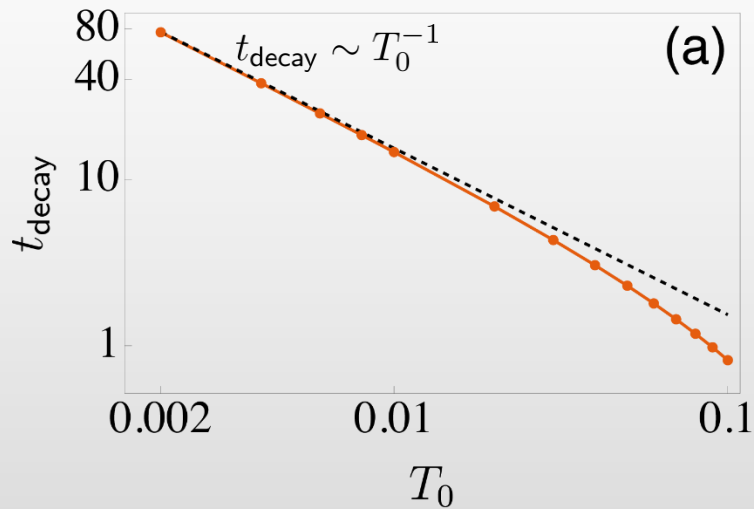
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# Shock dynamics in a helical edge loop

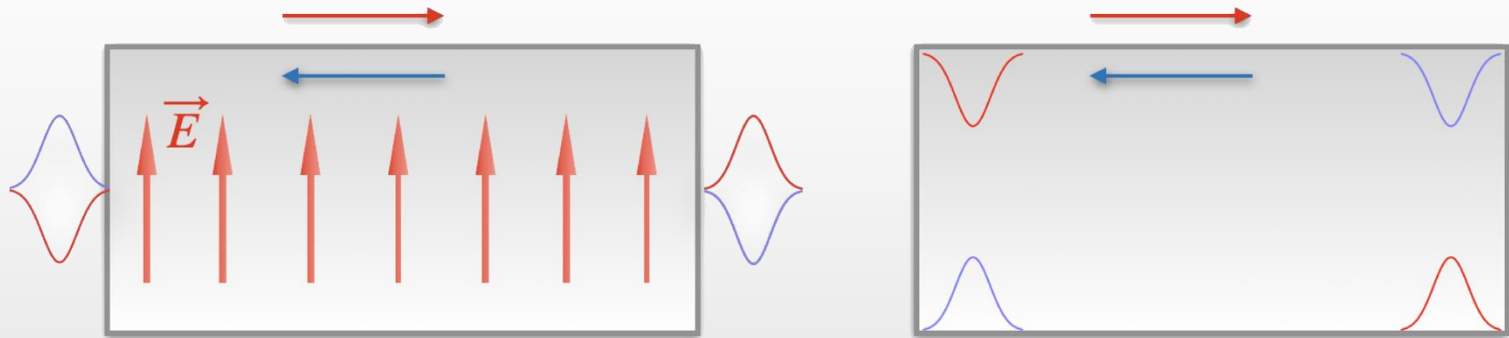
$$\Delta N_R(t)/N \propto t/t_{\text{decay}}$$

$$\min n'_R(t) \simeq -(n_0/\xi)(1 + t/t_{\text{slope}})$$

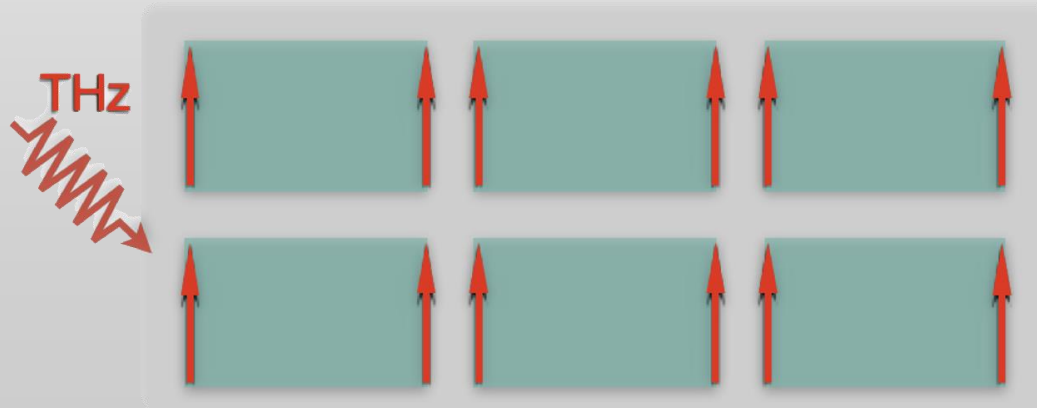


# Bounce dynamics between opposite charge packets

- 4 light-induced circulating spin, charge packets



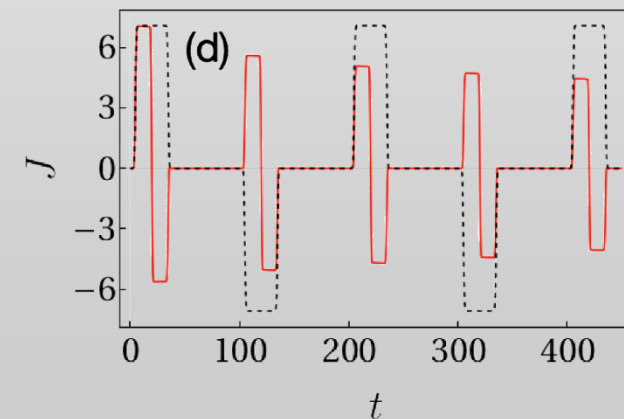
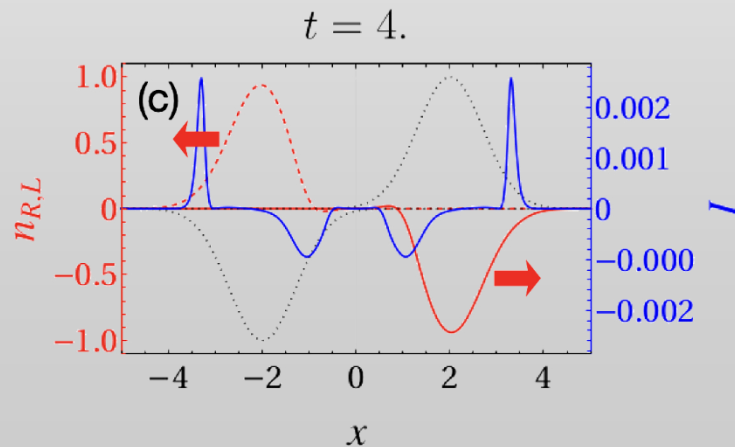
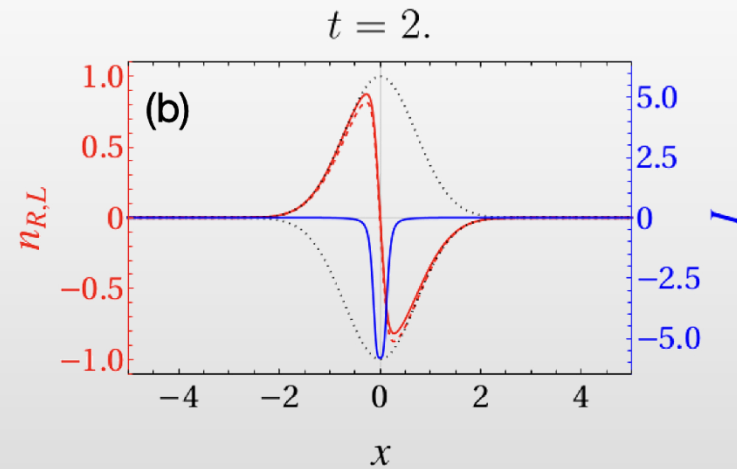
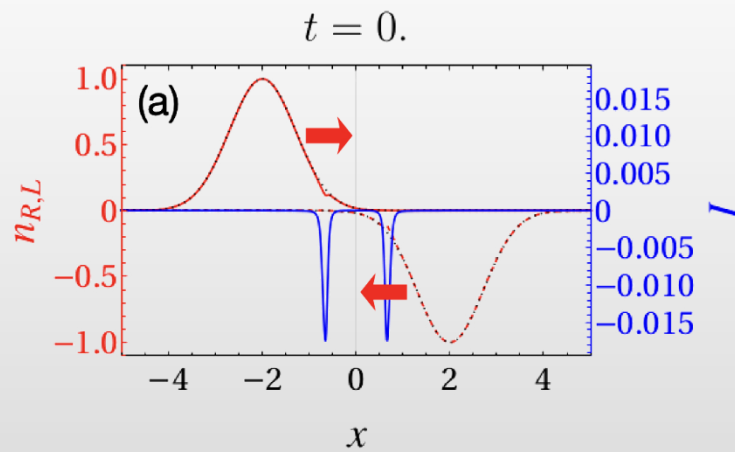
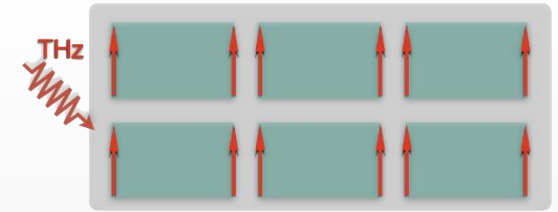
- Current oscillations along field: “THz antenna array”





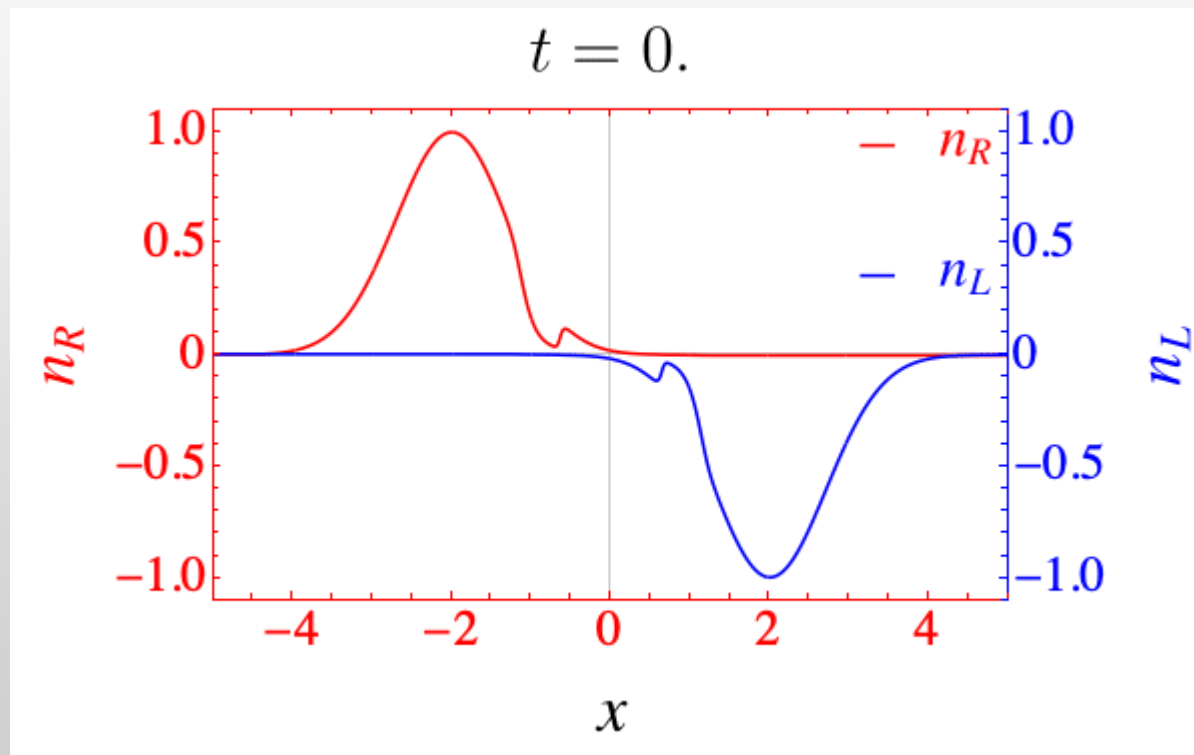
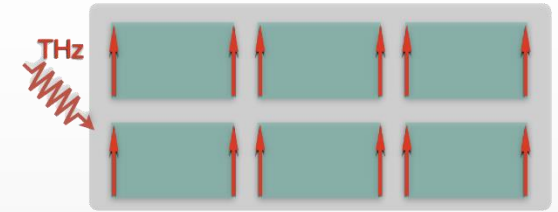
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- Imbalance collision-dominated regime: frequency doubling (shock and bounce)



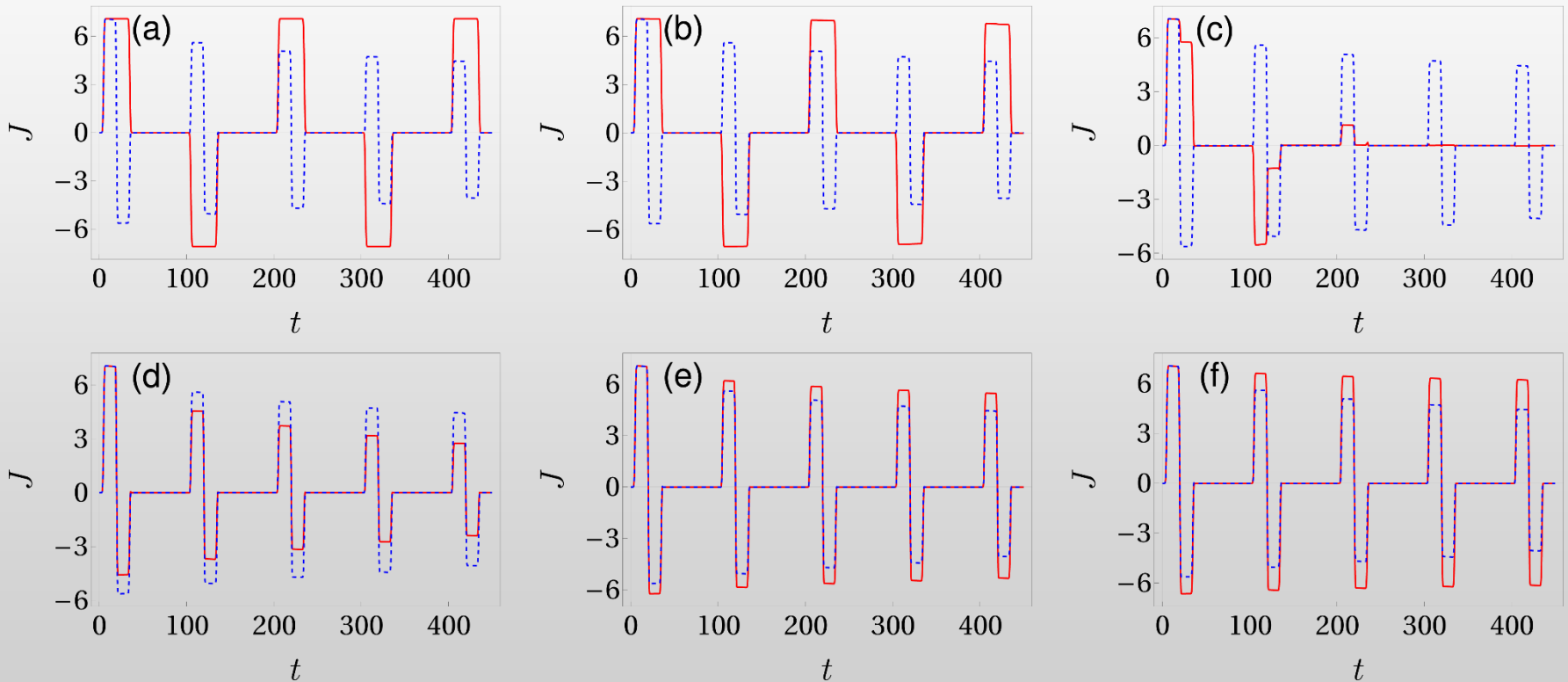
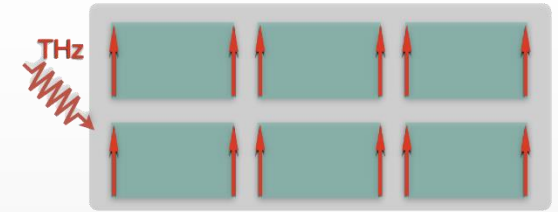
# Bounce dynamics between opposite charge packets

- Imbalance collision-dominated regime: frequency doubling (shock and bounce)



# Bounce dynamics between opposite charge packets

- Imbalance collision-dominated regime:  
**frequency doubling** (shock and bounce)



Dimensionless interaction strengths (blue dashed is  $W = 1$ ):

(a)  $W = 0$  (b)  $W = 0.01$  (c)  $W = 0.1$  (d)  $W = 0.5$  (e)  $W = 2$  (f)  $W = 5$

# fractal-mediated superconductivity



**Xinghai Zhang**  
**Rice University**

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**June 12, 2023**

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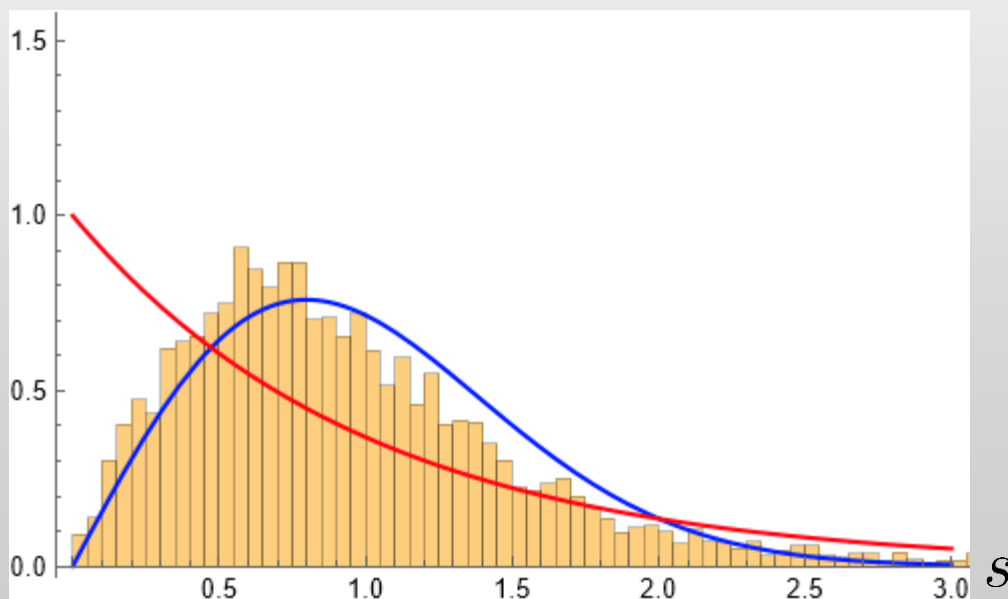
# Warmup: Diagnostics for Anderson localization

## Noninteracting particles with a random Hamiltonian\*

$$H = \sum_{i,j} h_{ij} c_i^\dagger c_j$$

### 1. Level spacing statistics $s_i = \frac{\varepsilon_{i+1} - \varepsilon_i}{\Delta\varepsilon}$

$P(s)$



\* Non-interacting PRBM model,  $1/2 < \alpha < 3/2$ ,  $N = 4000$

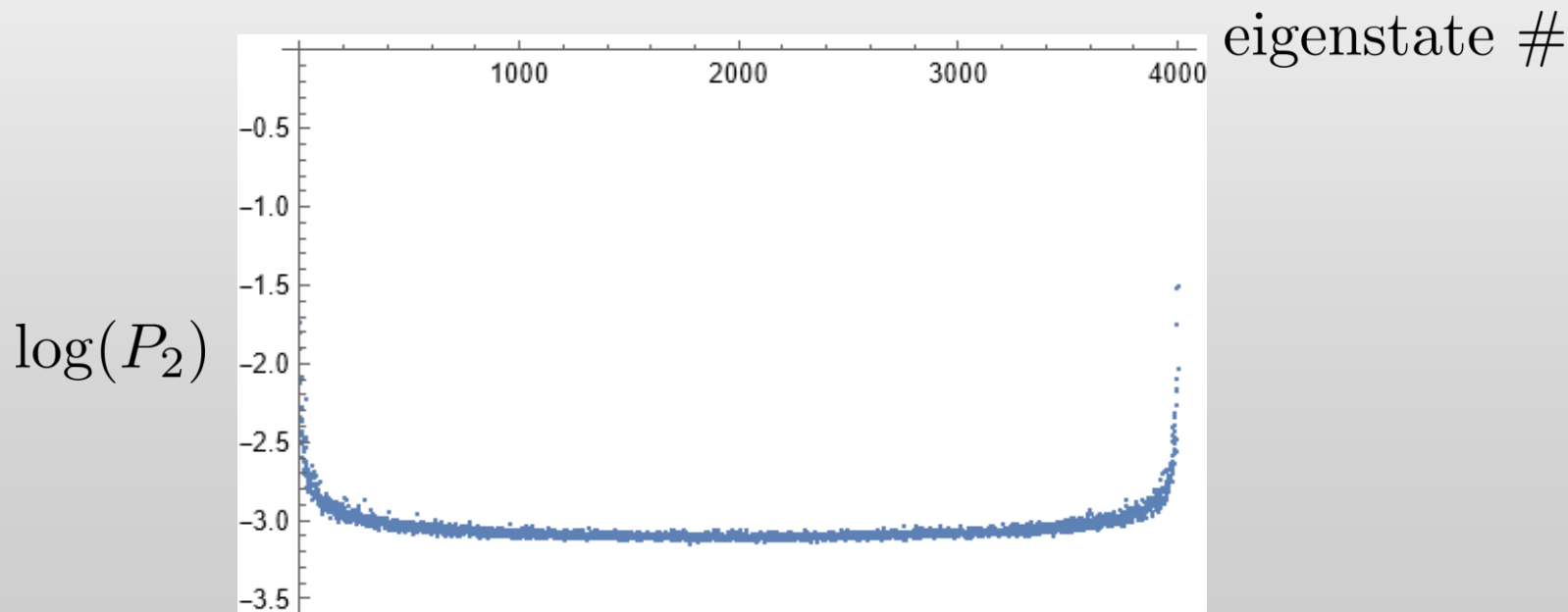
Review:  
Evers and Mirlin RMP 2008

# Warmup: Diagnostics for Anderson localization

## Noninteracting particles with a random Hamiltonian\*

$$H = \sum_{i,j} h_{ij} c_i^\dagger c_j$$

**2. Inverse participation ratio**  $P_2(\varepsilon) = \sum_i |\psi_\varepsilon(x_i)|^4$



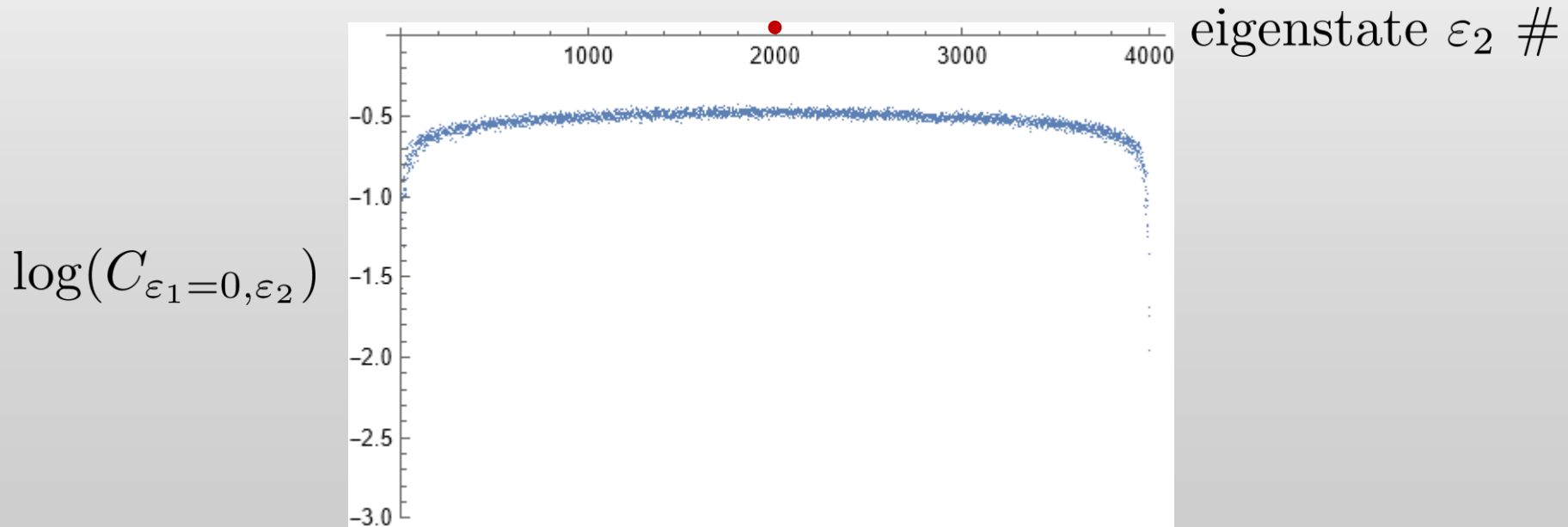
\* Non-interacting PRBM model,  $1/2 < \alpha < 3/2$ ,  $N = 4000$

# Warmup: Diagnostics for Anderson localization

## 3. Chalker-scaling correlator (energy-split IPR)

Chalker and Daniell 1988  
Chalker 1990

$$C_{\varepsilon_1, \varepsilon_2} \equiv \frac{\sum_i |\psi_{\varepsilon_1}(x_i)|^2 |\psi_{\varepsilon_2}(x_i)|^2}{\frac{1}{2} (\sum_i |\psi_{\varepsilon_1}(x_i)|^4 + \sum_i |\psi_{\varepsilon_2}(x_i)|^4)}$$



\* Non-interacting PRBM model,  $1/2 < \alpha < 3/2$ ,  $N = 4000$

Review:  
Evers and Mirlin RMP 2008

# Warmup: Diagnostics for Anderson localization

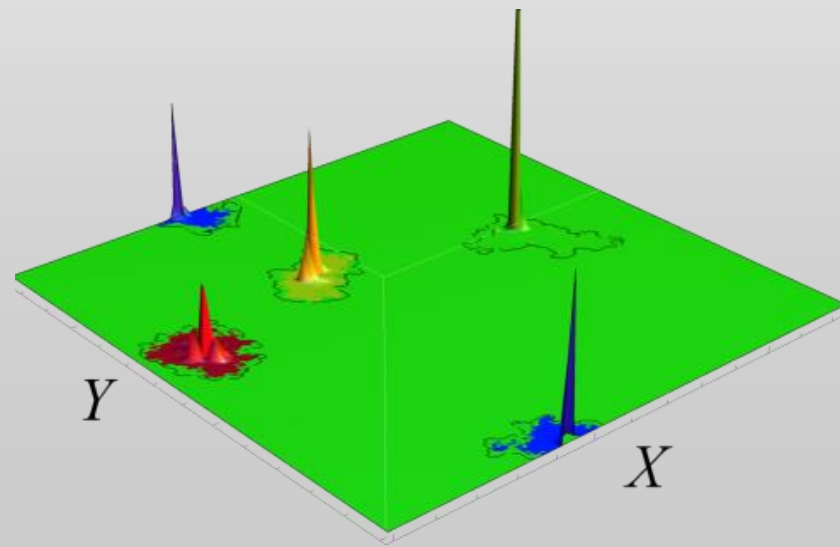
## 3. Chalker-scaling correlator (energy-split IPR)

Chalker and Daniell 1988  
Chalker 1990

$$C_{\varepsilon_1, \varepsilon_2} \equiv \frac{\sum_i |\psi_{\varepsilon_1}(x_i)|^2 |\psi_{\varepsilon_2}(x_i)|^2}{\frac{1}{2} (\sum_i |\psi_{\varepsilon_1}(x_i)|^4 + \sum_i |\psi_{\varepsilon_2}(x_j)|^4)}$$

### Nearby states in energy:

- Vanishing overlap in Anderson insulator  $C_{\varepsilon_1, \varepsilon_2} \sim \delta_{\varepsilon_1, \varepsilon_2}$





# Warmup: Diagnostics for Anderson localization

## 3. Chalker-scaling correlator (energy-split IPR)

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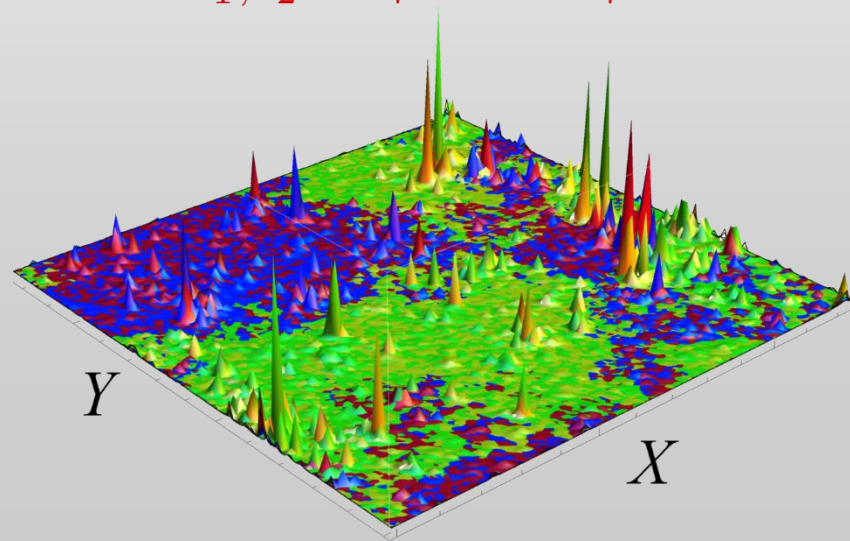
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### Nearby states in energy:

- Vanishing overlap in Anderson insulator  $C_{\varepsilon_1, \varepsilon_2} \sim \delta_{\varepsilon_1, \varepsilon_2}$
- Uniform overlap in diffusive metal
- **Fractal overlap at the localization transition**  $C_{\varepsilon_1, \varepsilon_2} \sim |\varepsilon_1 - \varepsilon_2|^{-\frac{d-d_2}{d}}$

$$P_2(\varepsilon) = \sum_i |\psi_\varepsilon(x_i)|^4 \sim \left(\frac{a}{L}\right)^{d_2}$$

Fractal dimension  $0 < d_2 < d$



# Fractal enhancement of interactions near a MIT

## Generalized Hubbard chain

$$H = \sum_{i,j,\sigma} h_{ij} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_i c_{i,\uparrow}^\dagger c_{i,\uparrow} c_{i,\downarrow}^\dagger c_{i,\downarrow}$$

## Interaction matrix elements in fixed realization of disorder

$$M_{mn} = UL^d \int_x |\psi_m(x)|^2 |\psi_n(x)|^2 \sim U \begin{cases} 1, & \text{metal,} \\ L^{d-\tau_2} C_{mn}, & \text{critical,} \\ (L/\xi)^d C_{mn} & \text{insulator} \end{cases}$$

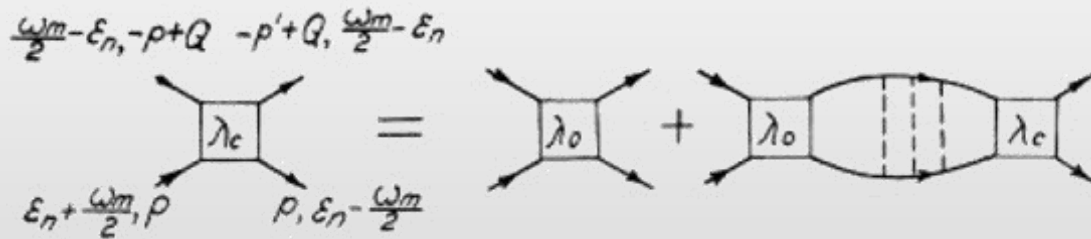
- Vanishing overlap in Anderson insulator  $C_{mn} \sim \delta_{m,n}$
- Fractal overlap at the localization transition  $C_{mn} \sim |\varepsilon_m - \varepsilon_n|^{-\frac{d-d_2}{d}}$

**∴ Interactions can be strongly enhanced near the MIT**

# Disorder in s-wave superconductors

- **Anderson's theorem (1960)**

- s-wave superconductivity is immune to non-magnetic disorder
- $T_c$  remains unchanged

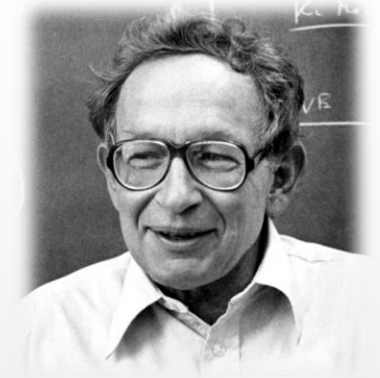


$$T_c \sim e^{-1/|U|\nu_0}$$

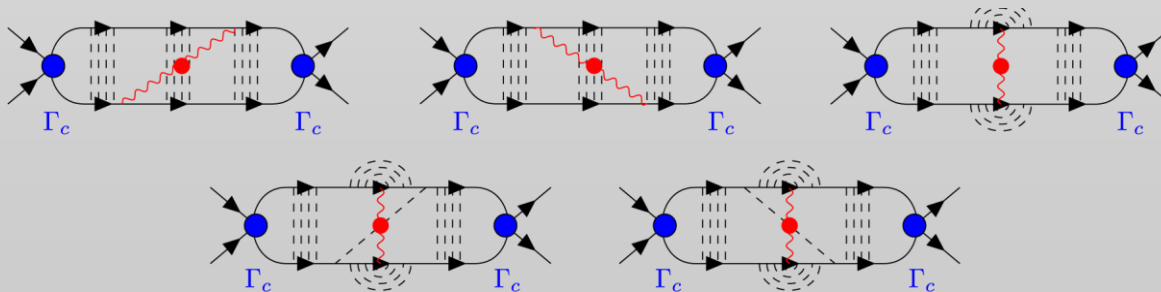
Review:  
Altshuler and Aronov 1985

# Disorder in s-wave superconductors

- **Anderson's theorem (1960)**
  - s-wave superconductivity is immune to non-magnetic disorder
  - $T_c$  remains unchanged
- **Maekawa & Fukuyama (1982)**



How about Anderson localization?

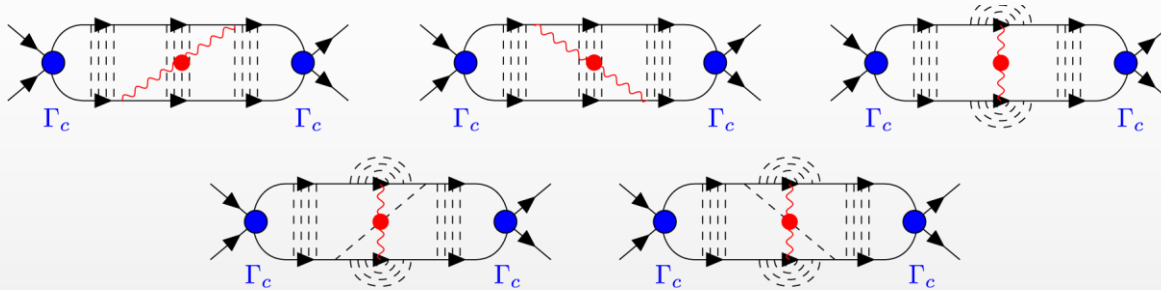


$$\frac{\delta T_c}{T_c} \sim -\ln^3\left(\frac{\Lambda}{T_c}\right)$$

Maekawa and Fukuyama 1982  
Finkel'stein 1987

# Multifractal enhancement in s-wave superconductors

- Maekawa & Fukuyama (1982)**

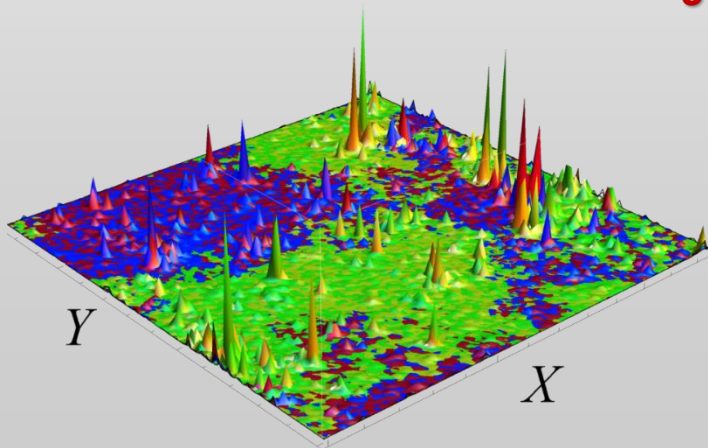


$$\frac{\delta T_c}{T_c} \sim -\ln^3\left(\frac{\Lambda}{T_c}\right)$$

Maekawa and Fukuyama 1982  
Finkel'stein 1987

- **Suppression due to quantum interference and long-ranged Coulomb interactions (SIT precursor)**

- Short-ranged, other interactions + Chalker scaling:  
Enhancement of  $T_c$  near Anderson MIT**



$$T_c \sim \frac{1}{\nu_0} (|U|\nu_0)^{\frac{d}{d-d_2}}$$

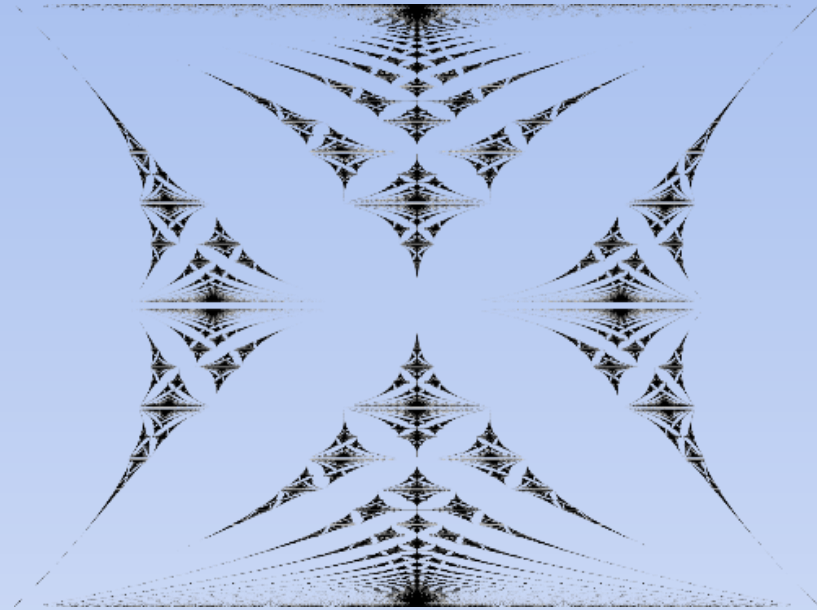
Feigel'man, Ioffe, Kravtsov, Yuzbashyan 2007  
Feigel'man, Ioffe, Kravtsov, Cuevas 2010  
Burmistrov, Gornyi, Mirlin 2012, 2015  
Mayoh and Garcia-Garcia 2015  
Fan and Garcia-Garcia 2020  
Fan, Chern, Lin 2021  
Stosiek, Evers, Burmistrov 2021

# Spectrum-wide fractality and superconductivity

- **Aubry-Andre model: Uniform hopping in an incommensurate periodic potential**

$$H = -t \sum_{i\sigma} \left( c_{i\sigma}^\dagger c_{i+1\sigma} + c_{i+1\sigma}^\dagger c_{i\sigma} \right) + \sum_i [V \cos(2\pi\beta_p i) - \mu] n_i$$

- **Extended, localized, critical phases**
- **Critical phase (with Hofstadter butterfly spectrum) shows **SWQC\*****

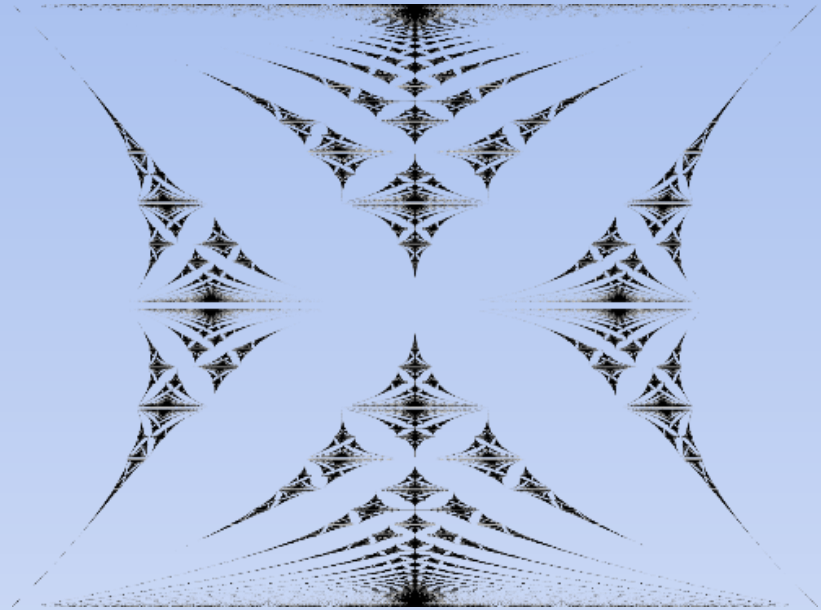


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- **Extended, localized, critical phases**
- **Critical phase (with Hofstadter butterfly spectrum) shows **SWQC\*****



## \* **Spectrum-wide quantum criticality**

- Entire (or most) of single-particle energy spectrum consists of quantum-critical multifractal wave functions
- Occurs at fine-tuned MIT in 1D Aubry-Andre and power-law random-banded (PRBM) matrix models
- **Also appears in models of surface states for bulk topological superconductors and 2D nodal (d-wave) superconductors**

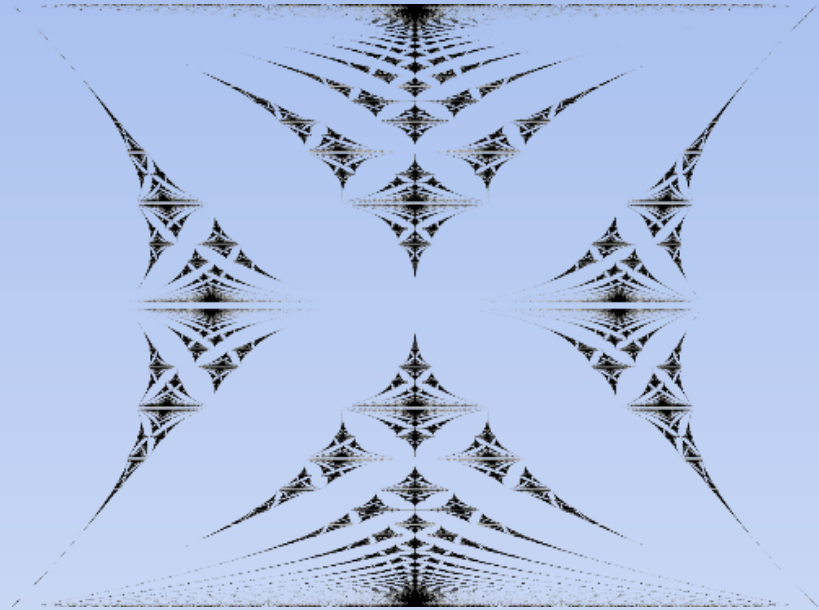
Ghorashi, Liao, Foster 2018  
Sbierski, Karcher, Foster 2020  
Ghorashi, Karcher, Davis, Foster 2020  
Karcher and Foster 2021

# Spectrum-wide fractality and superconductivity

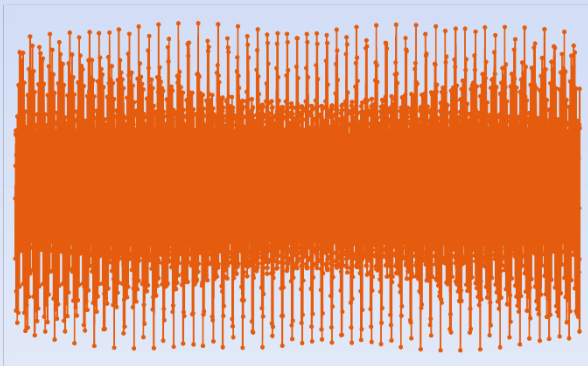
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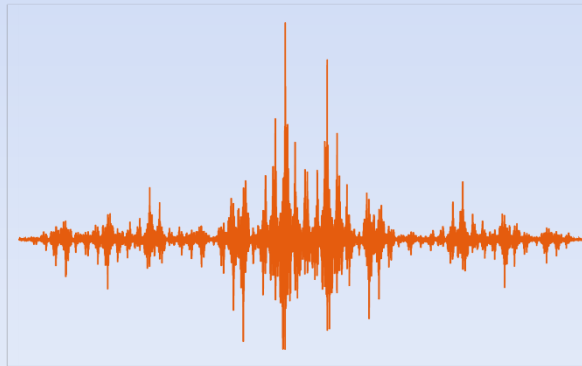
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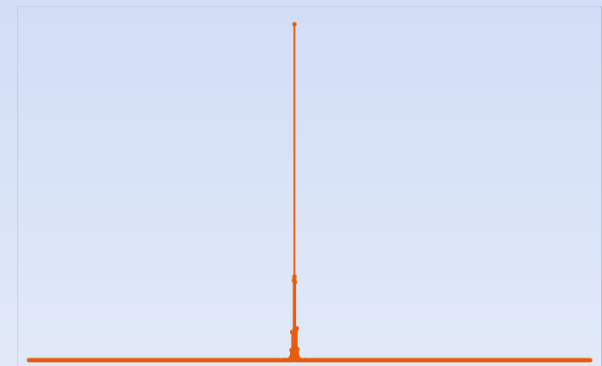
$V < 2t$



$V = 2t$



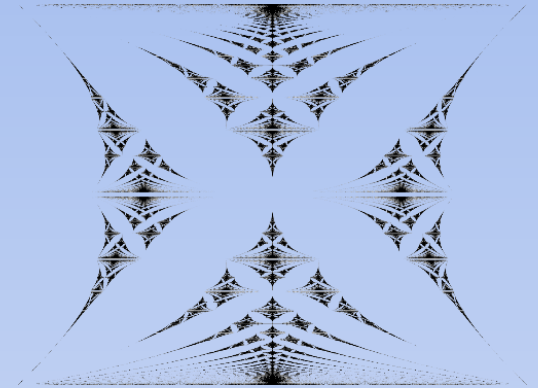
$V > 2t$





# Spectrum-wide fractality and superconductivity

- Aubry-Andre model: Uniform hopping in an incommensurate periodic potential
- Extended, localized, critical phases
- Critical phase (with Hofstadter butterfly spectrum) shows **SWQC\***



- **Self-consistent static mean-field numerics for the Aubry-Andre-Hubbard model**

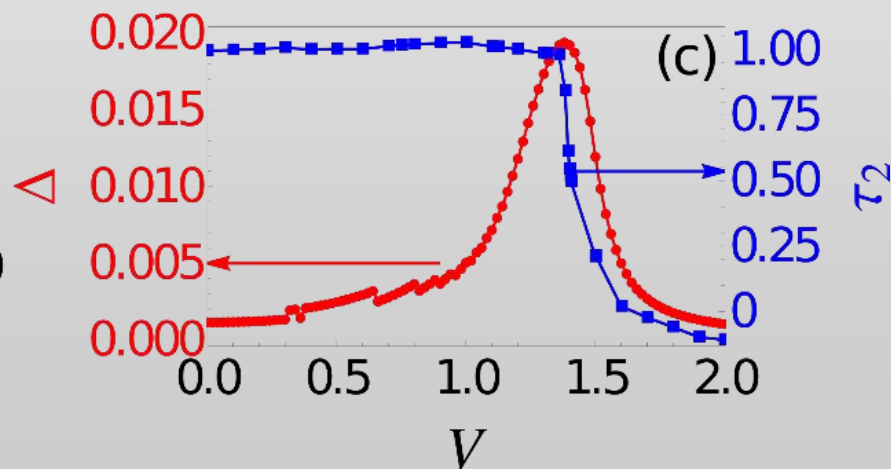
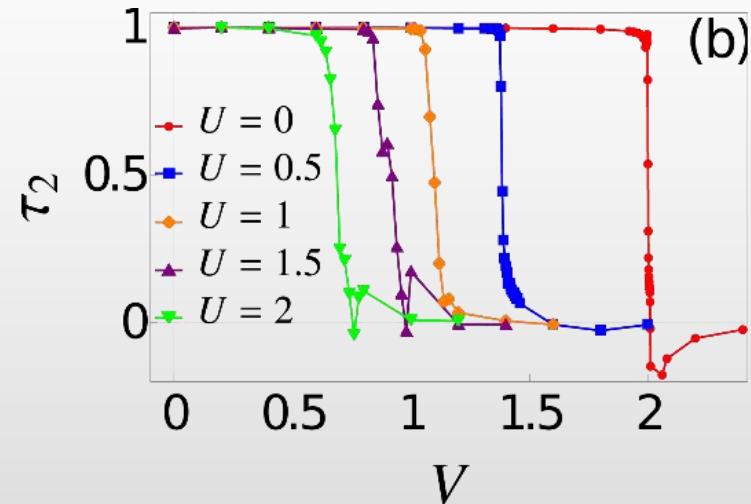
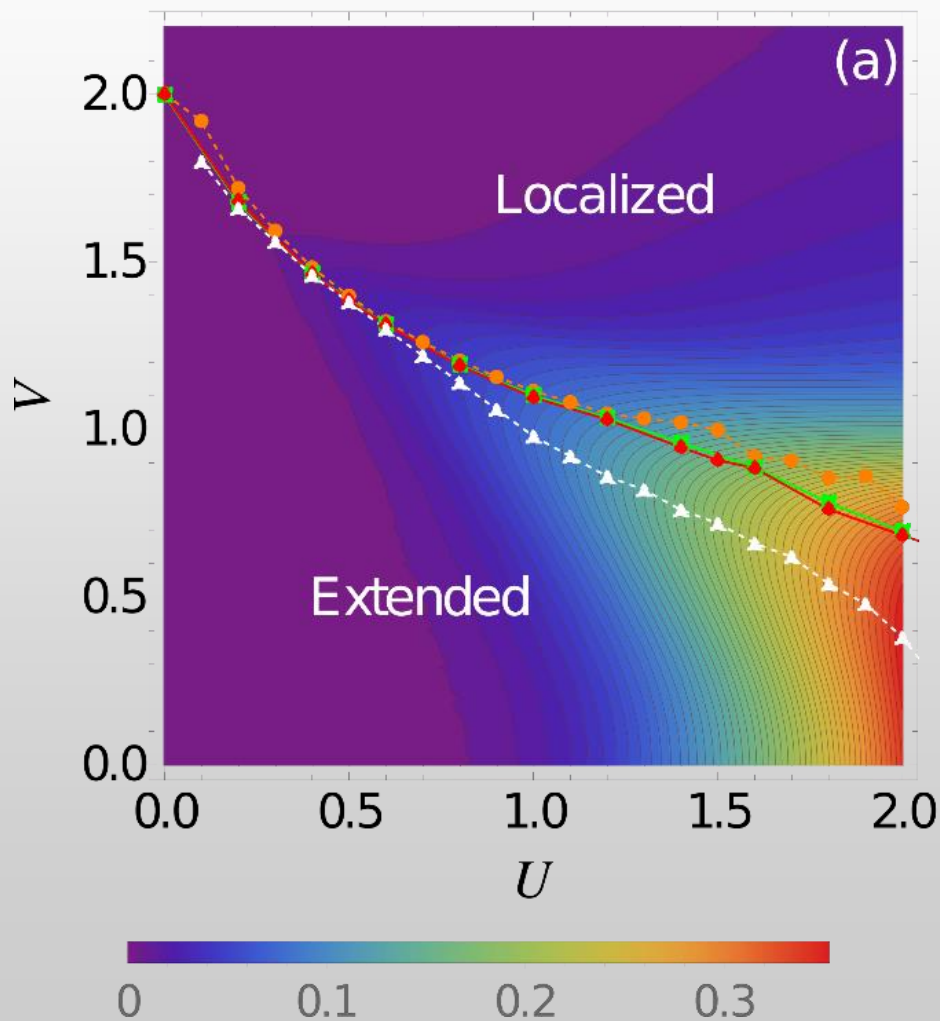
$$H = -t \sum_{i\sigma} \left( c_{i\sigma}^\dagger c_{i+1\sigma} + \text{H.c.} \right) + \sum_i [V \cos(2\pi\beta_p i) - \mu] n_i - U \sum_i n_{i\uparrow} n_{i\downarrow},$$

- Same method as **Ghosal, Randeria, Trivedi (1998)**
- Incorporates the Hartree shift (Altshuler-Aronov corrections)
- Also computed BCS superfluid stiffness

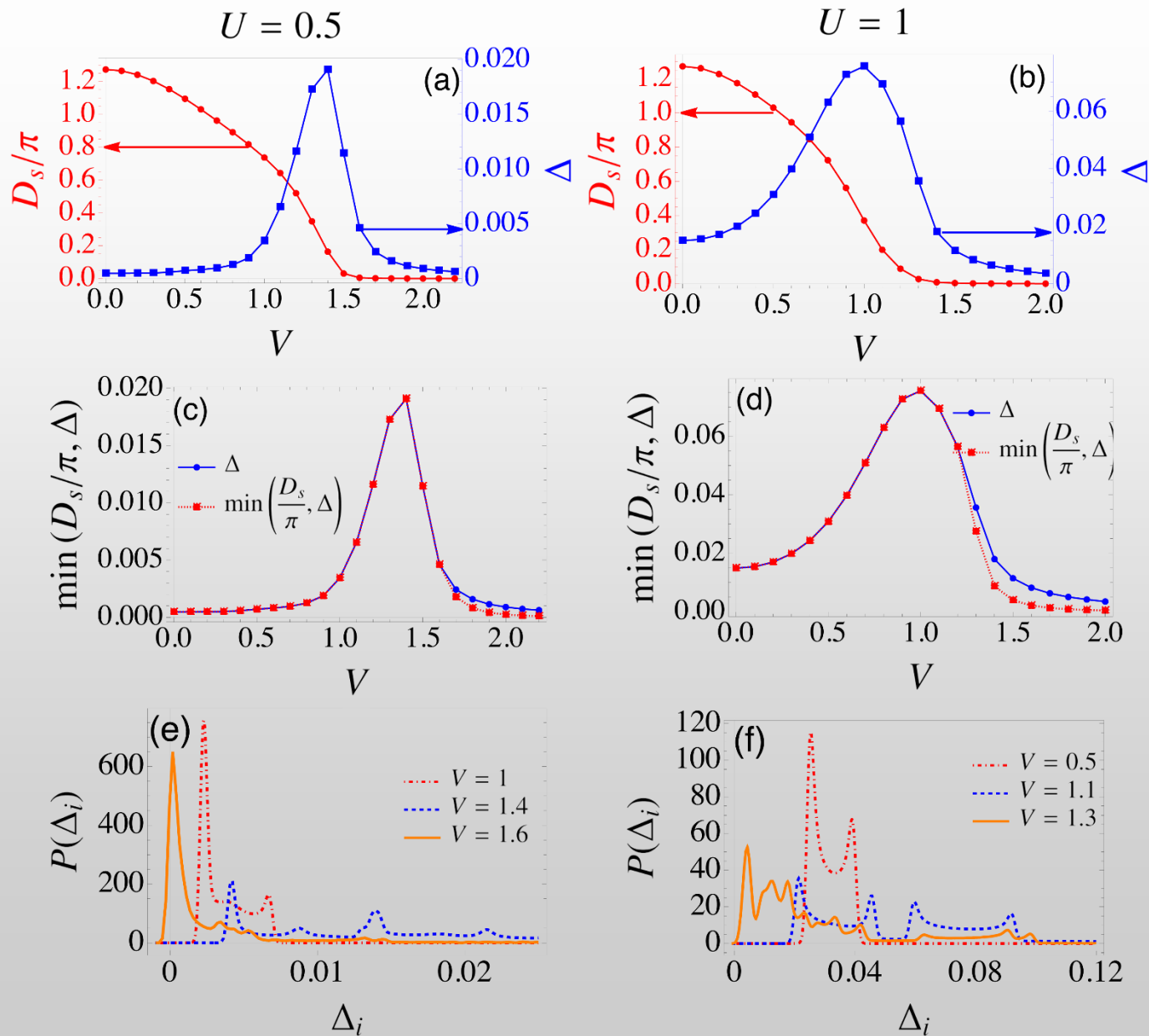
# Spectrum-wide fractality enhances superconductivity

- **Numerics: SWQC survives at MIT**
- ***BCS pairing strongly enhanced at the transition***

Earlier evidence of enhancement:  
Fan, Chern, Lin 2021

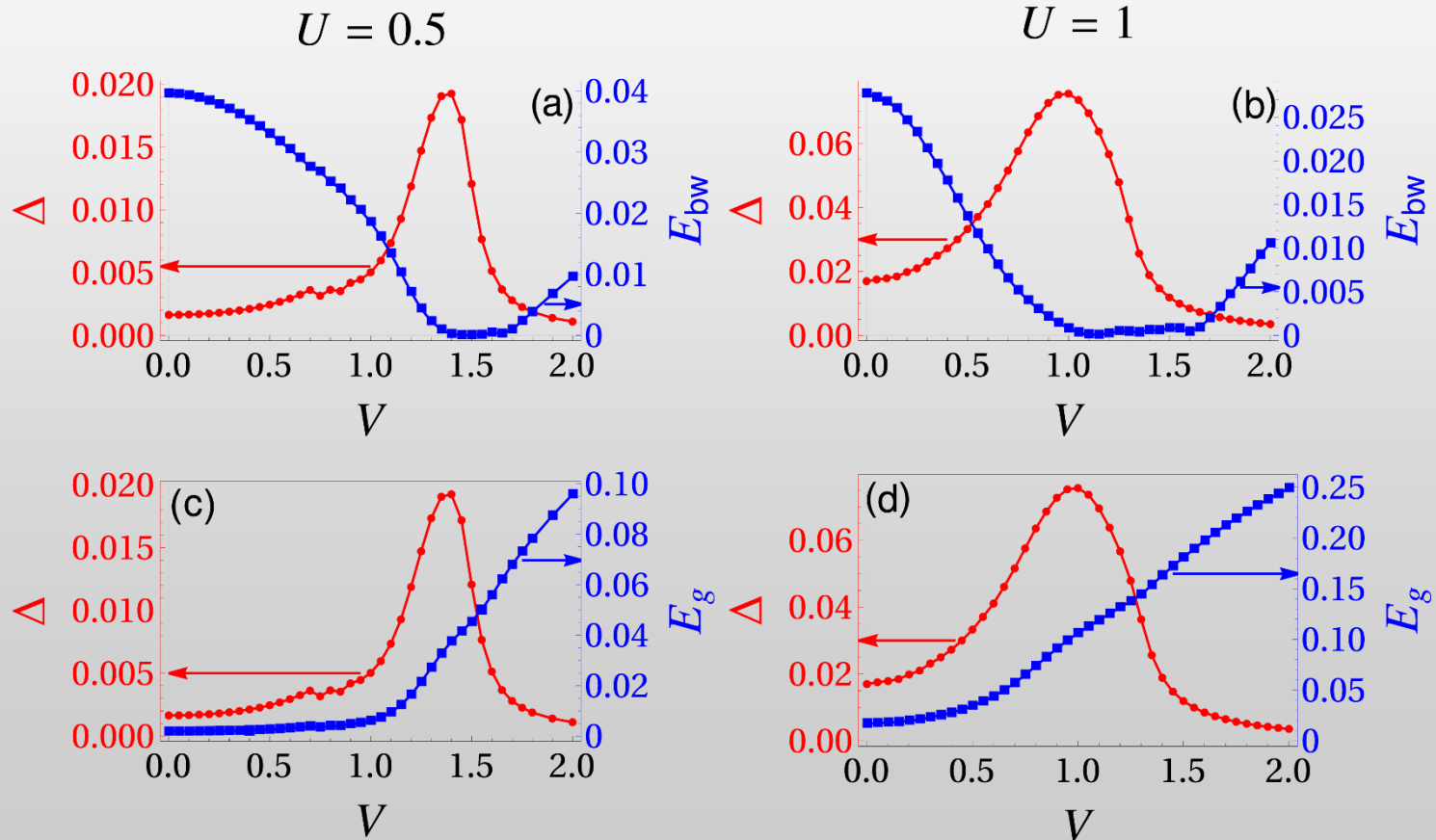


# Spectrum-wide fractality enhances superconductivity



# Spectrum-wide fractality *enhances superconductivity*

- Interaction-dressed Hofstadter energy spectrum: DoS is enhanced near MIT (subband flattening)
- Plays some role in enhancement of SC



# PRBM model with attractive Hubbard

- **Alternative 1D model without DoS enhancement:  
Power-Law random-banded matrix model**

$$H = \sum_{i,j,\sigma} h_{i,j} c_{i\sigma}^\dagger c_{j\sigma} - U \sum_i n_{i\uparrow} n_{i\downarrow}, -\mu \sum_i n_i$$

- $h_{ij} = g_{ij} |i - j|^{-\alpha}$  ( $i \neq j$ ),  $g_{ij}$  is a GOE matrix

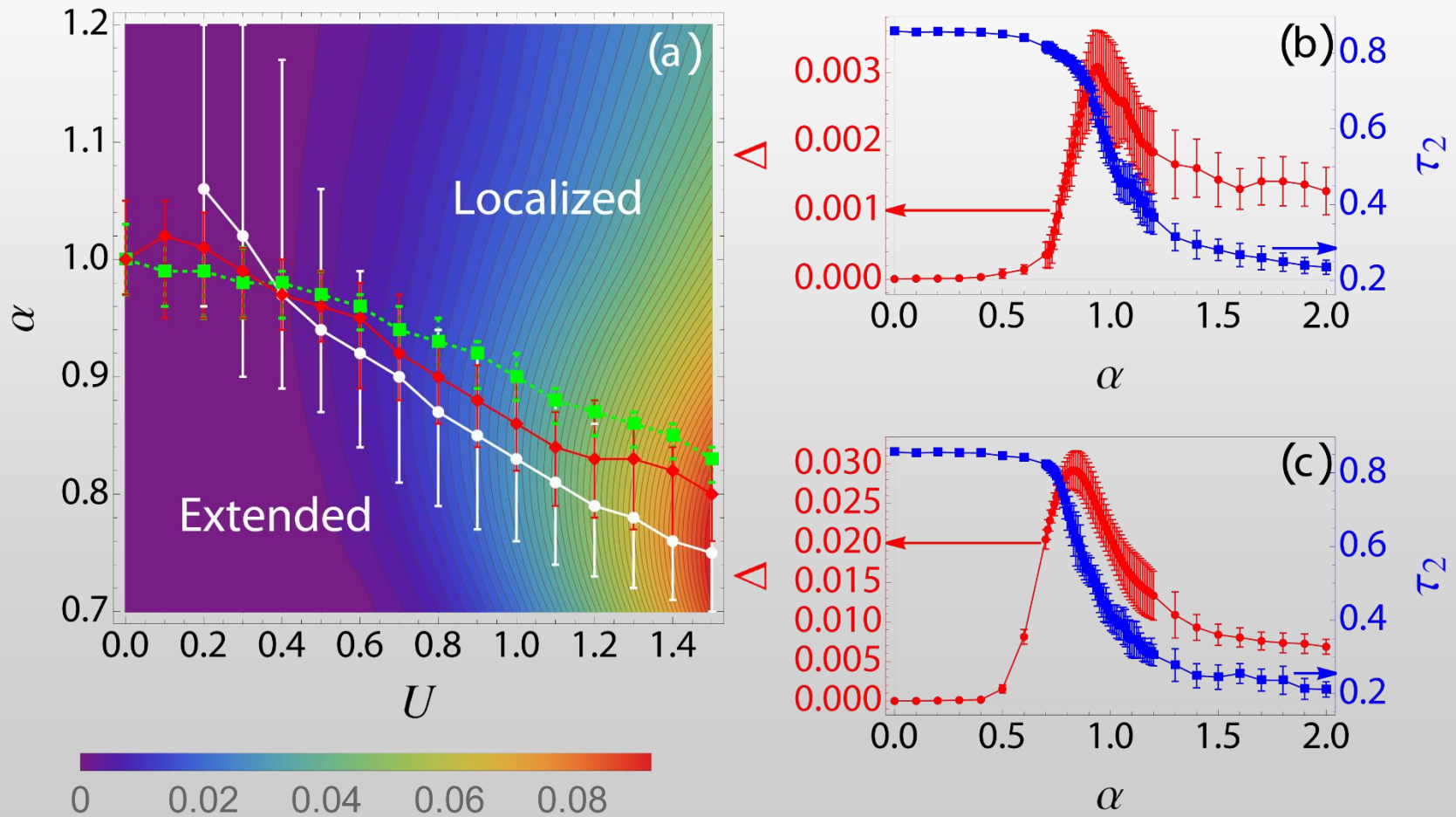
- **Without interactions:**

- $0 \leq \alpha \leq \frac{1}{2}$  Random-matrix regime
- $\frac{1}{2} < \alpha < 1$  Ergodic (but superballistic) metallic phase
- $\alpha = 1$  SWQC Anderson MIT
- $\alpha > 1$  (Power-law localized) Anderson insulator

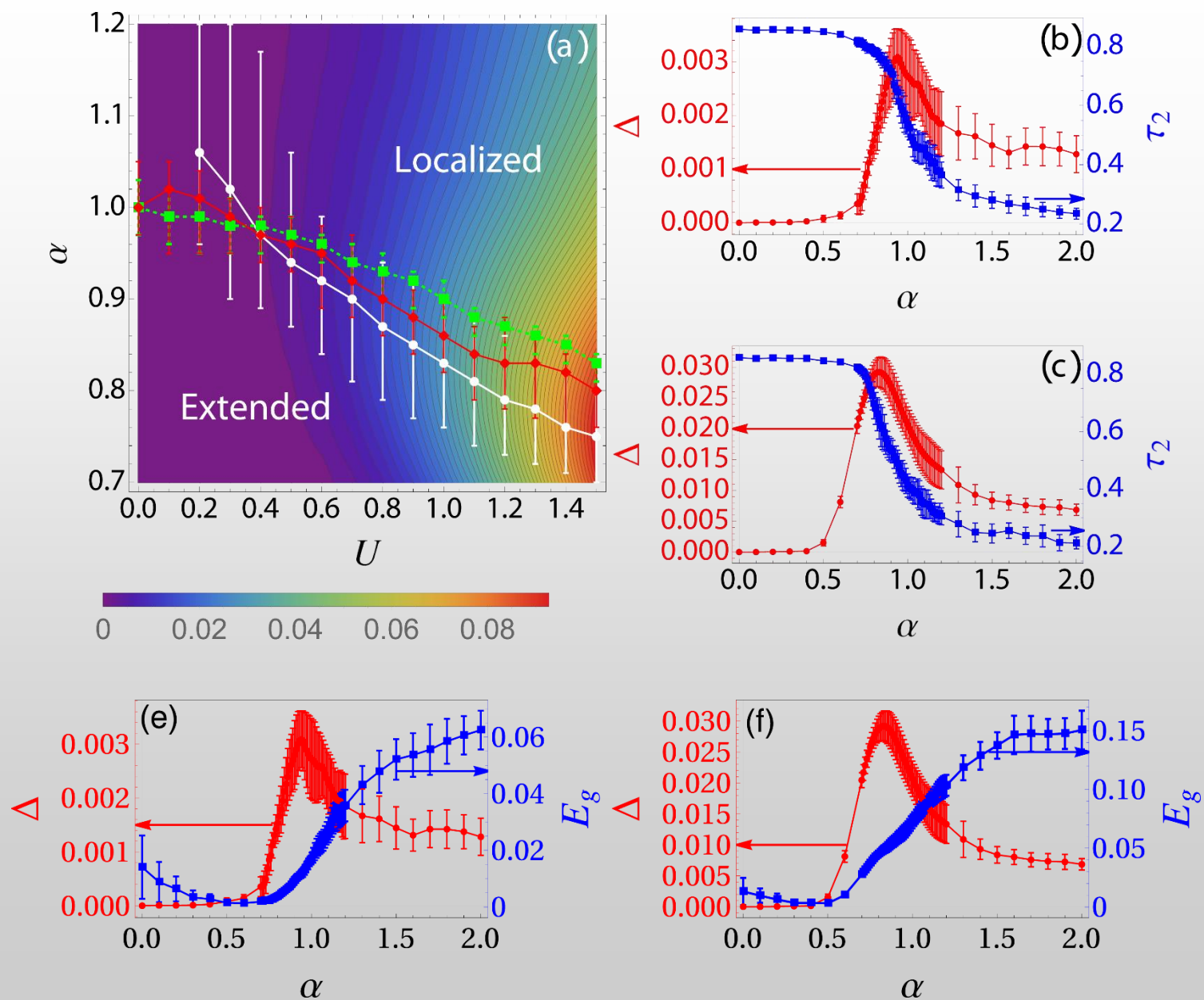
Review:  
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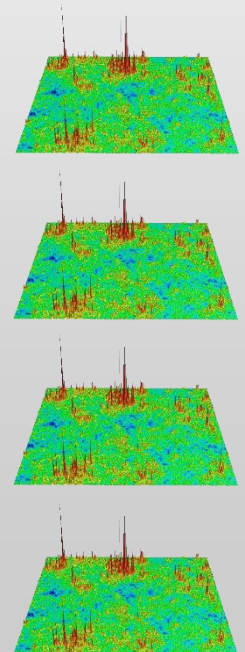
# Spectrum-wide fractality enhances superconductivity



# 3D topological superconductors: Spectrum-wide quantum criticality

Class	$T$	$P$	$S$	Spin sym.	$d = 2$	$d = 3$	Topological realization	Replicated fermion $NL\sigma M$
C	0	-1	0	SU(2)	$2\mathbb{Z}$	$\dots$	SQHE (2D $d + id$ TSC)	$Sp(4n)/U(2n)$
A (unitary)	0	0	0	U(1)	$\mathbb{Z}$	$\dots$	IQHE	$U(2n)/U(n) \otimes U(n)$
D	0	+1	0	$\dots$	$\mathbb{Z}$	$\dots$	TQHE (2D $p + ip$ TSC)	$O(2n)/U(n)$
CI	+1	-1	1	SU(2)	$\dots$	$2\mathbb{Z}$	3D TSC	$Sp(4n) \otimes Sp(4n)/Sp(4n)$
AIII	0	0	1	U(1)	$\dots$	$\mathbb{Z}$	3D TSC, chiral TI	$U(2n) \otimes U(2n)/U(2n)$
DIII	-1	+1	1	$\dots$	$\mathbb{Z}_2$	$\mathbb{Z}$	3D TSC ( $^3\text{He-B}$ )	$O(2n) \otimes O(2n)/O(2n)$
AI (orthogonal)	+1	0	0	SU(2)	$\dots$	$\dots$	$\dots$	$Sp(4n)/Sp(2n) \otimes Sp(2n)$
AII (symplectic)	-1	0	0	$\dots$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	2D, 3D TIs	$O(2n)/O(n) \otimes O(n)$
BDI	+1	+1	1	SU(2)	$\dots$	$\dots$	$\dots$	$U(2n)/Sp(2n)$
CII	-1	-1	1	$\dots$	$\dots$	$\mathbb{Z}_2$	3D chiral TI	$U(2n)/O(2n)$

- Replica symmetry suggests that 2D surface states of bulk TSCs can **avoid Anderson localization** via a strange mechanism that connects to topological quantum phase transitions in 2D
- “Spectrum-wide quantum criticality” (SWQC): **all single-particle wave functions are quantum critical**, i.e. are neither localized nor extended, but random and critically rarified, with a universal spectrum of level-set statistics (“multifractality”)
- SWQC for 2D surface states of 3D TSCs: **All finite-energy surface states are 2D quantum-Hall plateau-transition states!!**





# Spectrum-wide quantum criticality

Surface state of the simplest, class DIII topological superconductor (“solid-state Helium 3B”):

- **Single, 2-component massless Majorana fermion**
- **No conserved charge (e.g. spin) to gauge!**
- **Only energy is conserved**
- **Gauging energy by coupling to the stress tensor: **Quenched gravity!** (formally irrelevant at zero energy)**

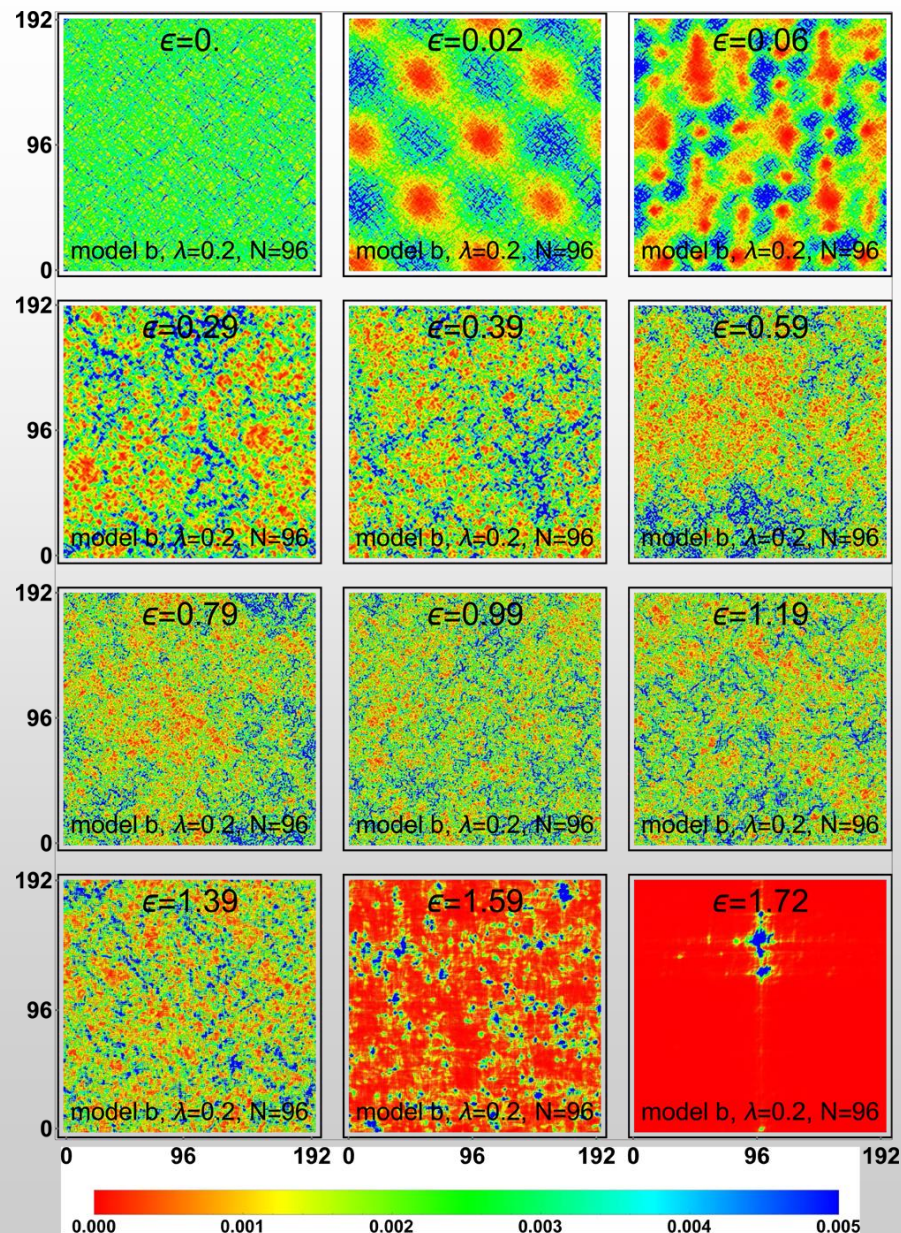
$$H_{\text{DIII}}^{(1)} = -\frac{1}{2} \sum_{a,b=1,2} \int d^2 \mathbf{r} v_{ab}(\mathbf{r}) \left( \bar{\psi} i \hat{\sigma}^a \overleftrightarrow{\partial}_b \psi \right)$$

# Spectrum-wide quantum criticality

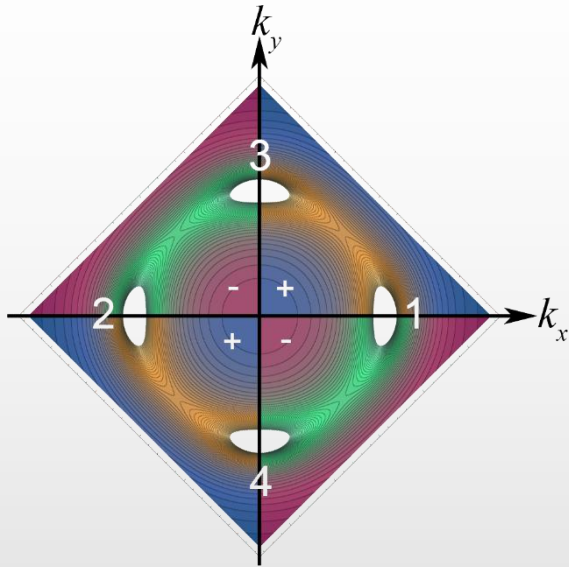
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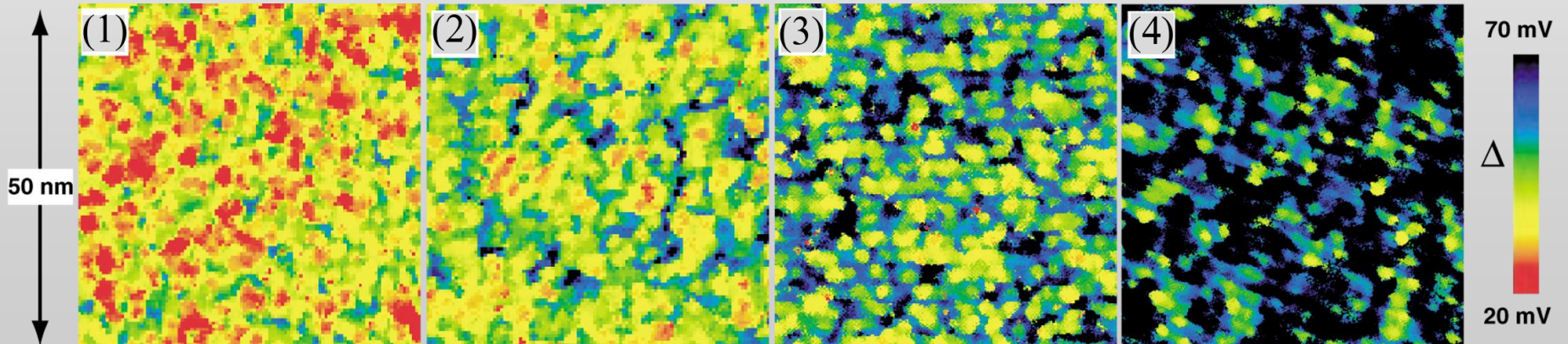
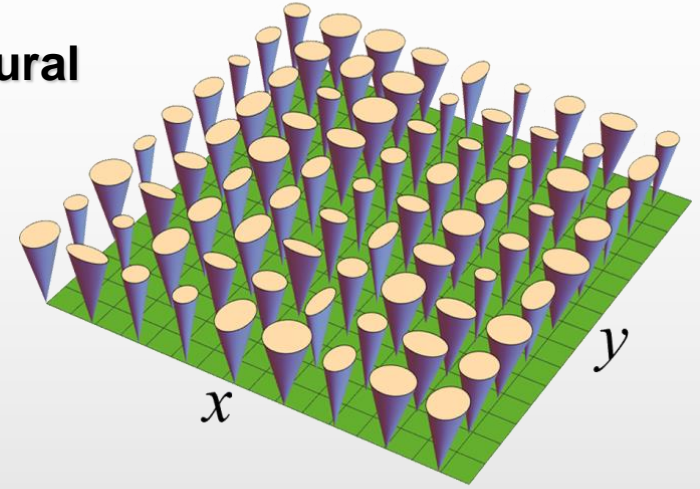
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# Beyond topology: *Dirty d-wave quasiparticles redux*



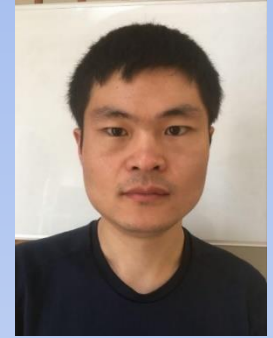
**Nematic disorder:** natural version of quenched gravitational dirt



BSCCO STM Data: K. McElroy, J. C. Davis *et al.*, PRL (2005)

# Summary: Some stuff since covid-19

1. **Shock + Bounce** PRL 127, 026801 (2021)
2. **Fractal SC** PRB 106, L180503 (2022)
3. **Magnetism at the onset of a spectrum-wide quantum-critical transition** (in preparation)



**Xinghai Zhang**  
Rice University



**Tsz Chun Wu**  
Rice University,  
Trexquant



**Yunxiang Liao**  
JQI/CMTC  
U. Maryland,  
KTH



**Patrick Lee**  
MIT

1. **Quantum interference in a dirty MFL** PRB 106, 155108 (2022)
2. **Enhancement of SC in a dirty MFL** arXiv:2305.13357 (2023)
3. **Spectrum-wide quantum criticality:**  
**Review** Karcher and Foster Ann. Phys. 435, 168439 (2021)



**Jonas Karcher**  
Penn State